

EXHIBIT I
To Omnibus
Declaration of Irvin E.
Tyan ISO Defendants’
Opposition to Acer
and HTC’s Motions
for Summary
Judgment

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UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA, SAN JOSE DIVISION

ACER, INC., ACER AMERICA)
CORPORATION and GATEWAY, INC.,)
Plaintiffs,)
vs.) No. 3:08-cv-00877 PSG
TECHNOLOGY PROPERTIES)
LIMITED, PATRIOT SCIENTIFIC)
CORPORATION, and ALLIACENSE)
LIMITED,)
Defendants.)

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SUBJECT TO THE PROTECTIVE ORDER

VIDEOTAPED DEPOSITION OF ANDREW WOLFE, PH.D.,

VOLUME 3

Friday, July 19, 2013

Palo Alto, California

Reported by:

Hanna Kim, CLR, CSR No. 13083

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Friday, July 19, 2013
9:07 a.m. - 5:43 p.m.

Videotaped deposition of ANDREW WOLFE, PH.D.,
taken on behalf of Defendants, Technology Properties
Limited, et al., on Friday, July 19, 2013, beginning at
9:07 a.m. and ending at 5:43 p.m., at the law offices
of K&L Gates at 630 Hansen Way, Palo Alto, California,
California, before Hanna Kim, CLR, CSR No. 13083.

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WITNESS: ANDREW WOLFE, PH.D.

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BY MR. MARSH:	9

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1 A. I don't recall any in the report.

2 MR. MARSH: Okay. We've been going for a
3 while.

4 Why don't we take a little break?

5 THE VIDEOGRAPHER: We are off the record at
6 10:50 a.m.

7 (Short recess taken.)

8 THE VIDEOGRAPHER: We are back on the record
9 at 11:05 a.m.

10 BY MR. MARSH:

11 Q. Dr. Wolfe, beginning on Page 45 of your
12 report, there's a Section 11, anticipation of the
13 asserted claims.

14 Do you see that?

15 A. Yes.

16 Q. And I'm referring to Section 11 of Exhibit 4.
17 This is the section in which you express opinions that
18 the Asserted Patents are anticipated by various prior
19 art references, correct?

20 A. In general, I -- I express and summarize the
21 opinions, but this section refers to, I believe,
22 Exhibits B through F, where many of the details are.

23 Q. Exhibits B through F are the exhibits to
24 Exhibit 4 of your opening report, right?

25 A. Yes.

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1 Q. Now, Paragraphs 75 through 87 of Exhibit 4,
2 those pertain to the '749 Patent no longer in this
3 case, right?

4 A. In general, there are some discussions -- some
5 general statements about the TITMS 3410 microprocessor
6 that I think are probably duplicated in other places
7 with respect to the '890 Patent, but -- but the
8 opinions may overlap.

9 Q. Okay. Understood.

10 But those paragraphs, Paragraphs 75 through 87
11 of Exhibit 4, those are directed to the '749 Patent,
12 aren't they?

13 A. That was their intent, yes.

14 Q. And then beginning on Paragraph 49 of Exhibit
15 4 in Section 11.2, you offer some anticipation patents
16 with -- or anticipation opinions with respect to the
17 '336 Patent, right?

18 A. Yes, again referring to certain exhibits. I
19 believe, Exhibits C, D, and E are the relevant ones.

20 Q. And those are Exhibits C, D, and E to our
21 deposition Exhibit 4, right?

22 A. Yes.

23 Q. You say here in Paragraph 90 of your expert
24 report that there is inherent variation in all
25 oscillators due to, "The real world inability to

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1 produce a perfect fixed frequency oscillator," right?

2 A. Well, you left out some words I said. Well,
3 what it actually says is, TPL alleges that the inherent
4 de minimus variation in a fixed frequency oscillator,
5 due to the real world inability to produce a perfect
6 fixed frequency oscillator, is sufficient to meet
7 certain claim requirements.

8 Q. But you would agree that -- that it is a fact
9 that there's a real world inability to produce a
10 perfect fixed frequency oscillator?

11 A. Yes. You cannot produce a perfect fixed
12 frequency oscillator. There will always be some de
13 minimus, you know -- if you measure anything carefully
14 enough in this world, you will see that it's not
15 perfect.

16 Q. So there's some inherent variation in any
17 oscillator, is what you're saying, although you
18 characterize it as de minimus, right?

19 A. Yes. In many cases, it's negligible or de
20 minimus, but there is always, if you examine it closely
21 enough from a scientific perspective, some de
22 minimus variation.

23 An engineer would not necessarily -- in fact,
24 generally an engineer would not concern themselves with
25 that variation, and an engineer would ordinarily treat

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1 something as fixed frequency, which is what I've called
2 it, a fixed frequency oscillator. But if you were not
3 to treat it as an engineer, but you were to measure it
4 with perfect precision, you would always find that
5 there's some inherent variation.

6 Q. Well, let me ask you this: What are some of
7 the causes of this real world inability to produce a
8 perfect fixed frequency oscillator that you refer to in
9 Paragraph 90 of Exhibit 4?

10 A. Again, you have to look at it whether you're
11 looking at it from a practical perspective or a
12 theoretical perspective. From a practical perspective,
13 you can't make a perfect measurement, so you can't even
14 figure out whether or not anything is ever perfect.

15 From a more theoretical perspective, it would
16 have to do with the fact that atoms are always moving,
17 there's thermal noise in every electrical system that's
18 going to cause some very small random variation.

19 In a particular system, there may be other
20 things that -- that impact it. References, ground
21 references, may change. There can be random signals
22 that are induced by adjacent signals, radio waves,
23 electromagnetic interference. Again, practical terms,
24 those tend to be dominated by measurement errors, the
25 fact that you can't even measure or respond to a signal

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1 with perfect precision.

2 Q. So one of the things that you mentioned that
3 causes this real world inability to produce a perfect
4 fixed frequency oscillator was thermal noise, right?

5 A. Yes.

6 Q. And what is thermal noise?

7 A. It's the fact that -- and again, I'm not a
8 physicist, so I may not be able to give you a perfect
9 formal answer.

10 But in practical engineering terms, it's the
11 fact that, at any temperature above absolute zero,
12 molecules are always in motion; and therefore, they
13 interact in random and unpredictable ways, which are
14 tiny, tiny effects and can generally be ignored in
15 electric circuits, but sometimes can be measurable.

16 Q. So thermal noise, those are thermal changes or
17 thermal fluctuations, right?

18 A. No. No. No. It's electrical noise caused by
19 the fact that there is heat energy in the circuit.

20 Q. But you mentioned that thermal noise
21 corresponds to the movement of the molecules, correct?

22 A. Yes, but it's not tied -- thermal noise is not
23 tied to changes to temperature, merely the fact that
24 something has a temperature above absolute zero.

25 Q. You also mentioned induced random signals.

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1 Strike that.

2 You mentioned changes in ground references.

3 What does that mean?

4 A. Whenever you look at what a circuit does, it's
5 always a complete circuit. It's a path from a voltage
6 source around a complete path again. And in -- in most
7 real circuits, when you measure a voltage, you have to
8 measure it with respect to something. And other
9 circuits will interact with respect to a reference, and
10 those references are never perfect. So they will have
11 minor variations, tiny variations. Sometimes,
12 depending on what the circuit is, sometimes large
13 variations. And they -- the ones that we're most
14 concerned about are the random variations caused by
15 other circuits switching, that cause various kinds of
16 random spikes that -- that can cause random noise in
17 any kind of signal, including an oscillator.

18 Q. And so the change in ground references, that
19 would change the effect of voltage on the device,
20 correct?

21 A. It would change -- it depends what device
22 you're talking about. If a particular circuit has a
23 change in ground voltage, specific -- depends on the
24 circuit, because things can be regulated. But in
25 particular, if those changes are very rapid and random

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1 so that they can't be compensated for, they can change
2 the effective voltage of various signals, supply
3 voltage or control voltages.

4 Q. What about the induced random signals that you
5 mentioned or EM interference? Can those also change
6 the effect of voltage in the circuits that receive
7 those?

8 A. Well, there's always multiple effect of
9 voltages in any interesting circuit, so -- but yes. It
10 can change it in tiny, negligible ways, or, depending
11 on what the circuit is, it can change it in huge ways,
12 but again, generally random ways.

13 Q. Do fabrication imprecisions affect the real
14 world inability to produce a perfect fixed frequency
15 oscillator?

16 A. I'm not quite sure what you mean. If you mean
17 that that -- again, nothing in the real world's
18 perfect. So if you mean that, when you manufacture two
19 chips, they're a little bit different, that doesn't
20 have any impact on the fact that neither of them is
21 perfect. If they were the same, they still wouldn't be
22 perfect.

23 Q. But it means that the two chips would be
24 imperfect in different ways, right?

25 A. Well, it's random, so it would probably be

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1 different. But as with anything that's random, it
2 could be the same.

3 Q. You mention here, at the bottom of Paragraph
4 90, transistors that are on the same silicon die.

5 What's a silicon die?

6 A. It's a piece of silicon wafer. So when we
7 manufacture chips in the normal way, they're
8 manufactured on wafers. A wafer is generally round,
9 anywhere between 100 and 300, now maybe
10 400 millimeters, in diameter, and it will have a whole
11 bunch of circuits on it. And then as part of the
12 manufacturing process, those circuits will be cut into
13 pieces, and that piece is called a die and it consists
14 of a number of layers that form electronic circuit
15 elements, like transistors, wires, resistors,
16 capacitors that can be used to perform a function.

17 Q. And so silicon die is what we might typically
18 refer to as an integrated circuit, right?

19 A. We might. It's really part of an integrated
20 circuit. When we play with an integrated circuit,
21 we're typically talking about die inside of a package
22 or mounted in some super structure. But it's the
23 primary functional part of an integrated circuit.

24 Q. Would it be fair to call it the silicon
25 substrate of the integrated circuit?

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1 A. Substrate's usually part of the die. It's the
2 majority of the die, and then you build things on top
3 of the substrate to form the die.

4 Q. I see. So the die -- the silicon substrate,
5 plus the circuitry on top of the substrate, right?

6 A. Yes.

7 Q. And would you agree that -- you describe the
8 process of creating silicon die out of wafers.

9 Would you agree that the transistors on each
10 silicon die are exposed to similar fabrication steps?

11 A. No. In general, not necessarily, especially
12 when you use a word like "exposed."

13 It's often that you want to have very
14 different kinds of transistors on the same die. So by
15 using masks, you'll have some steps used to build some
16 transistors and other steps that are used to build
17 other transistors. The whole die went in the machine,
18 but some steps were blocked from parts of a chip and
19 allowed to build other parts of the chip; and
20 conversely, other steps build the remaining part of the
21 chip.

22 So even in terms of the way layers are built,
23 it's not unusual to have two, three, four or more kinds
24 of transistors on a modern die, modern being anywhere
25 in the last 20 years. And then, of course, a

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1 transistor's characteristics are impacted by much more
2 than just its layers. It's also impacted by its size
3 and shape, and those routinely differ from transistor
4 to transistor.

5 Q. So I guess my questions may be a little
6 simpler. The wafer that you described, that's
7 processed -- all the dies on the wafer are processed at
8 the same time, right?

9 A. Well, they go through a sequence of steps.
10 Today, there may be 100 of them grouped into maybe 40
11 or 50 processes, and -- and everything goes into every
12 machine. But then selective masking is used to figure
13 out which transistors are exposed to which
14 manufacturing processes.

15 Q. Yeah. Understood.

16 So what you're saying is that at certain
17 points certain parts of each die may be masked off and
18 not subject to certain processes.

19 But in general, the whole wafer is processed
20 together, right?

21 A. Well, it goes in the machine, but the whole
22 wafer is not processed. The intent of masking it off
23 is to prevent part of the wafer from being processed in
24 that step.

25 Q. All right. I guess how are you using the word

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1 "process" in that answer? Maybe we're using the word
2 differently.

3 A. That there is some chemical or mechanical
4 change to the materials on the -- on the wafer.

5 Q. Okay. Let me use a different word then.

6 The wafer -- all the dies on the wafer are
7 manufactured together, correct?

8 A. Well, it depends when. So at the early stages
9 when a wafer's still intact, they all go through the
10 same machines together, and then there are the masks
11 that determine which portions of the wafer are actually
12 exposed to a manufacturing step and which portions of
13 the wafer skip that manufacturing step.

14 Q. And so when you say that the wafers all go
15 through the machine together, they're all part of the
16 same fabrication, aren't they?

17 A. I'm not sure how that's a different question.
18 They -- you take the wafer, you put a mask on it to
19 select which parts of the wafer are going to be
20 impacted by the machine and which parts are going to be
21 protected from the impact of the machine. Then you put
22 it in the machine. The exposed parts are impacted by
23 the manufacturing step, and the covered parts are not
24 impacted or minimally impacted.

25 Q. Well, would you agree that transistors on each

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1 die undergo similar process variations?

2 A. Sometimes they do. Sometimes they don't. As
3 I said, there may be four, five, six different kinds of
4 transistors on a die, and some of them will be similar.
5 Some of them will be different.

6 Q. You would agree with me, if we look at the
7 transistors on each die, that, as that die is heated
8 up, they undergo similar temperature changes, right?

9 A. It depends how it's heated up. In operation,
10 that's generally not true. In operation, they are
11 often local temperature gradients. Also -- let me hear
12 your question again. I want to make sure I understood
13 one of the words.

14 Q. Yeah. My question was you would agree with me
15 that, if you look at the transistors on each die, as
16 the die is heated up, those transistors undergo similar
17 temperature changes, right?

18 A. That depends. So if the die is heated
19 uniformly and if the transistors are the same size,
20 then that would be true. If the transistors are
21 different sizes, they have different thermal mass, and
22 they will respond differently to changes in
23 temperature, and in almost all real circumstances,
24 especially with operating parts, the die is not heated
25 uniformly.

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1 Q. So if, for example, I took -- I took a product
2 that had a silicon die to Death Valley on a record
3 setting heat day, the transistors on that chip would
4 all be heated up by the environmental conditions,
5 correct?

6 A. Yes, but possibly not to the same degree and
7 possibly not proportionally.

8 Q. But they would all be heated up nonetheless,
9 correct?

10 A. Well, it depends where you started. But if
11 you go from a colder place to a warmer place, in
12 general all the transistors will get warmer, but not
13 necessarily by the same amount or in the same
14 proportion. And of course, even if they become warmer,
15 it won't necessarily have the same effect on them.

16 Q. And when those transistors are powered up,
17 when the die is powered up, the die receives power from
18 a single power source, correct?

19 A. Well, it depends what chip, but very few chips
20 receive power from a single power source anymore.

21 Q. What do you mean by that?

22 A. Most complicated chips have multiple power
23 sources, which are then further divided inside the chip
24 so the individual circuits on the chip then experience
25 even more local power conditions.

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1 But a typical interesting chip today,
2 something like a cell phone processor, could have as
3 many as four or five different, completely different,
4 power sources; and it's very common for chips that have
5 both analog functions and digital functions to have
6 completely separate power sources for the analog and
7 digital functions.

8 Q. But ultimately, the power source for all of
9 the transistors on that chip in the cell phone is the
10 battery of the cell phone, right?

11 A. In some abstract way, but not in a practical
12 engineering way, because those circuits are isolated
13 from the characteristics of that battery.

14 That battery is used as the source of energy,
15 but it then goes through power circuitry that generates
16 independent voltages, independent currents that are
17 independently filtered, independently regulated, so
18 that the characteristics of the power seen by the
19 circuits, or that impacts by the circuits, is
20 independently determined by lots of different circuits
21 or what we call power supplies.

22 Yeah. It depends on where you want to trace
23 it back as to where the source of that energy was. I
24 mean, yes, it could be the battery or it could be a
25 wall that you plugged the battery into or it could be

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1 those variations, right?

2 A. With precision instruments, yes, you could
3 measure them. But from any kind of a practical
4 perspective, it -- I mean, when a company like Acer
5 sells a product, they don't test it to that level of
6 precision. Like a PC, they don't test speed of a PC to
7 that level of precision. They don't measure it to that
8 level of precision. There could be two sitting on a
9 shelf that differ by that much, and neither a company
10 nor a supplier nor a customer would ever care.

11 Q. So you mentioned that you could measure 1 or
12 2 kilohertz with precision instruments. But isn't it
13 true that an AM radio is able to measure kilohertz?

14 A. Not at 300 megahertz. All right? So there's
15 a difference. You can measure things proportionally,
16 right? I mean, I can measure a cup of water, but I
17 can't measure Lake Superior to within a precision of
18 one cup of water.

19 So from an engineering perspective, tolerances
20 have to be related to the magnitude of the measurement
21 as a whole.

22 Q. But it is true, isn't it, that an AM radio is
23 capable of detecting differences in frequency that's on
24 the order of kilohertz, right?

25 A. Of AM frequencies, but not of -- it can't

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1 de -- detect the difference between a 300 megahertz
2 frequency and 300.001 megahertz frequency.

3 Q. And that's just because that's not what it's
4 designed to do, right?

5 A. That's right.

6 Q. But detecting differences on the order of a
7 kilohertz is certainly doable and possible?

8 A. Depends in what. You can certainly detect the
9 difference between one kilohertz and two kilohertz
10 signals by ear, but that's different than detecting a
11 difference between a 300.001 megahertz signal and a
12 300.002 megahertz signal, just like I could look at the
13 difference between half a cup of water and a cup of
14 water and I can tell the difference, but I could not
15 look at two lakes and tell whether or not they differed
16 by half a cup of water.

17 Q. So in your opinion, what would constitute a de
18 minimus variation with respect to the claims of the
19 '336 Patent?

20 A. Well, in Paragraph 90 I'm talking about what
21 has been documented by TPL as being de minimus.

22 In the general sense of my report, I focussed
23 more on what is insignificant or insubstantial, and
24 those would be things that are within the tolerances so
25 that they don't have any substantial impact on the way

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1 the circuits are designed, the way they're used, the
2 way they're sold.

3 Q. So what would be the smallest variation that
4 you would consider de minimus in connection with
5 microprocessor circuits and the '336 Patent?

6 A. I don't tend to consider what the smallest is,
7 but certainly anything that's within the tolerance of
8 an oscillator, that's within its expected
9 unpredictability, is insignificant or insubstantial.

10 Q. Do the claims of the '336 Patent indicate that
11 they require some -- more than some minimum or de
12 minimus amount of variation to be infringed?

13 A. Yes. By using the words in their ordinary
14 way, they require that -- the ordinary meaning of
15 varying is substantially variant. Every claim term has
16 to be treated in perspective of ordinary skill in the
17 art.

18 When we say in a claim that something's flat,
19 there is some physicist with some instrument that can
20 measure anything in the real world and prove to you
21 that it's not flat; and yet we still use the words flat
22 and/or planar in claims, and we understand that means
23 that it's substantially flat for the purpose that it's
24 intended, because that's the ordinary meaning. And
25 these claims, all the terms that have been construed

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1 have ordinary meanings.

2 Q. So could you take a look at Exhibit 2, the
3 '336 Patent?

4 A. Mm-hmm.

5 Q. Let's look at the claims in the re-examination
6 certificate, and if you could point me to where the
7 claims require more than the de minimus variation, I'd
8 like to see the language that requires that.

9 A. I have it in my report.

10 Can I refer to Exhibit 5?

11 Q. Absolutely. Yes.

12 A. So there are a number of things there. One is
13 there are a number of terms in the claims -- and I'll
14 go through them in a second as I finish my answer --
15 that indicate that a clock or an oscillator needs to
16 vary. And the ordinary meaning of vary or variable
17 speed is in this patent or outside this patent, to a
18 practitioner, to a person of ordinary skill, would be
19 that it varies in a significant or substantial way.

20 Q. And I'm sorry? You're referring to Exhibit 5,
21 your rebuttal report? Where are you looking in that
22 report?

23 A. I'm starting with Section 8.12 on Page 44,
24 although there may be other sections that discuss this
25 as well.

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1 I also note when I read the claims that the
2 term "variable speed system clock" is differentiated
3 from "fixed frequency clock" in Claim 2 and throughout
4 the patent. And, for example, in Column 17, there are
5 two different things in -- in the patent and in
6 ordinary usage in the field, fixed speed clock and a
7 variable speed clock.

8 So my understanding, ordinary meaning, of all
9 the claims is that there is something that has an
10 ordinary meaning that requires it to vary more than
11 insubstantially. And in Claims 6, 7, and 9, that's the
12 phrase that says, "Varying the processing frequency of
13 said first plurality of electronic devices and the
14 clock rate of said second plurality of electronic
15 devices in the same way as a function of parameter
16 variation in one or more fabrication or operational
17 parameters associated with said integrated circuit
18 substrate, thereby enabling said processing frequency
19 to track said clock rate in response to said parameter
20 variation."

21 Q. So let's take a look at that language that you
22 just read in Claim 6. I understand --

23 A. Yeah. I'm not finished with the answer. But
24 if you want to move on, I'm happy to do that.

25 Q. Yeah. I understand that you have claim

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1 language here in Paragraph 80 of Exhibit 5 that you're
2 saying requires more than a de minimus variation,
3 right?

4 A. Yes, based on the ordinary meaning of those
5 terms.

6 Q. And does that claim language anywhere
7 expressly say that a certain amount of variation is
8 required? Is there any claim language that actually
9 says that?

10 A. That's the ordinary meaning of varying.

11 Q. So you're referring to the word "varying."

12 But it doesn't say varying by how much, does
13 it?

14 A. No, but it needs to be substantial. All
15 right? It needs to be -- in order to not read that
16 word out of the claim, it has to be different than
17 something that is invariant. And since, from a
18 scientific, mathematical perspective, no invariant
19 things exist in this world, I'm making the assumption
20 that I would ordinarily make, that this is talking
21 about the way that a person of ordinary skill would use
22 the term "vary." And a person of ordinary skill would
23 use the term "varying" differently than they would use
24 the term "fixed." And if something is substantially
25 fixed, they would call it fixed; and if it varies in a

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1 substantial way, they would call it varied.

2 Q. So you used the word "substantial."

3 What do you mean by that?

4 A. Something substantial in that it affects the
5 way that the system is designed or built or used in
6 a -- in a meaningful way. I mean, it's somewhat of a
7 judgment call for a practitioner, but it's a judgment
8 call that they -- that they do everyday.

9 When somebody -- somebody designs something to
10 have a one-inch screw, they know that they can't get a
11 perfect one-inch screw, but they still have a range of
12 things that they can substantially treat as being a
13 one-inch screw. They would probably know that a screw
14 that changes from day to day from a quarter of an inch
15 to three inches is not a fixed length screw. These are
16 things that people of ordinary skill deal with in a
17 consistent way all the time, and I applied them in that
18 consistent way.

19 Q. So I asked you before about de minimus, and
20 you couldn't tell me how much was necessary to not be a
21 de minimus variation.

22 Now I want to ask you about substantial.

23 What would the minimum amount of variation be
24 that would be substantial in your view?

25 MR. WALKER: I'm going to object to the

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1 question to the extent it mischaracterizes the earlier
2 testimony.

3 BY MR. MARSH:

4 Q. Let's strike that question.

5 Let me ask you this: What would the minimum
6 amount of variation be that you would consider
7 substantial in your opinion, with respect to the claims
8 of the '336 Patent?

9 A. I didn't have to make that determination
10 to -- to do -- to render my opinions. Clearly, things
11 that were within the tolerance, within the uncertainty
12 of the signal, that were documented as being within the
13 range of possible random noise, that were expressly
14 within the degree of unreliability of the part, are
15 insubstantial in a microprocessor design. Clearly, the
16 100 percent variations that are described in the patent
17 as the amount of variation one would typically see
18 using the preferred embodiment are substantial.

19 I didn't have any situations where I had to
20 evaluate anything in between.

21 Q. In your opinion, are the claims in the '336
22 Patent limited to situations in which the variation is
23 100 percent like the example that you mentioned?

24 A. No. I think they're limited to places where
25 the variation is intentional and substantial.

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1 Q. You mentioned, in connection with your
2 opinions in Paragraph 90 of Exhibit 4, de minimus
3 variation.

4 What is the -- what is the smallest variation
5 that you would consider not to be de minimus in
6 connection with the claims of the 336 patents?

7 A. I hadn't really formed an opinion on that.
8 What I said was that the variation that was identified
9 by TPL was de minimus.

10 Q. So you express that opinion without really
11 deciding what is and is not de minimus then?

12 A. Well, I decided what is de minimus. I didn't
13 set an explicit bound on when it stops being de
14 minimus. We could look up the definition of de
15 minimus, but generally de minimus is small enough that
16 it has no practical impact. And that would have been
17 the standard I would have applied.

18 Q. And how did you decide that with respect to
19 what we say are TPL's allegations?

20 A. Well, we can go through the allegations, but
21 the only thing that TPL identified, if I recall, was
22 they identified for most of the products the simple
23 fact that all products have thermal noise. And in
24 these kinds of products, that would have been tiny and
25 insignificant. There -- there were no quantitative

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1 measurements, but because the products operate, any
2 thermal noise clearly has no impact.

3 And then for the two products that were based
4 on two of the LSI chips and described in Exhibits Acer
5 A-1 and Acer A-2, they describe the clock jitter as the
6 source of variation. And we do have quantitative
7 information on that. We also have testimony on that,
8 and it's clearly de minimus on the fact that it has no
9 impact on operation of that circuit. And if it were
10 not there, it wouldn't make a difference. And as long
11 as it's within the uncertainty tolerance of the clock
12 speed, it gets disregarded by engineers and users.

13 Q. So you mentioned two things there that you
14 consider de minimus, thermal noise and jitter, right?

15 A. In these particular products as it was
16 identified by TPL.

17 Q. Okay. Is there anything else that was
18 identified by TPL that you consider to be a de minimus
19 variation?

20 A. I looked at everything identified by TPL.
21 Those are things that I remember actually TPL actually
22 identifying for the specific accused Acer products.

23 Q. Well, let's go back to Exhibit 4 on Page 51,
24 and I want to look at your opinion about the Motorola
25 single chip microcomputer data book that you express in

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1 Paragraphs 92 and 93 of Exhibit 4.

2 Do you see that?

3 A. Yes.

4 Q. You know what? I'm sorry. Before we discuss
5 that reference, I wanted to ask you a different
6 question.

7 Turning back to Page 41 of Exhibit 4. You're
8 aware that the varying limitations that you referred
9 to, including the language that you quoted from Claim
10 6, that those have an agreed upon construction, right,
11 shown here on Page 41 of Exhibit 4?

12 A. Yes.

13 Q. And that agreed upon construction of the
14 varying terms, is that -- is, "increasing and
15 decreasing proportionally," right?

16 A. Yes.

17 Q. And there's no language in that agreed upon
18 construction about substantial variation or some level
19 that's not de minimus of variation, is there?

20 A. Well, there's two things. One is I think we
21 do need to match these up. I don't -- you cut me off
22 on the previous answer, but I don't think this is all
23 the terms that are identified in that Paragraph 80.

24 Also, it does bring up the other interesting
25 point, that all the things that I described were random

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1 and would not happen proportionally between two
2 different things. So they don't meet that definition
3 for that reason as well, as the ordinary meaning.

4 But again, when the construction is increasing
5 and decreasing, I assume that the Court intends us to
6 use those in the way that a person of ordinary skill
7 or an ordinary juror would use those terms. There's no
8 way that a person of ordinary skill or a juror would
9 look at this table and say, it's increasing in height
10 right now.

11 I'm sure there is some instrument that we
12 could use to measure the height of this table that
13 would show that it is currently increasing or
14 decreasing; and yet, from a real world perspective,
15 it's fixed. And that's the distinction that I'm
16 making, is that the tiny amount that this table is
17 increasing and decreasing as I sit here and look at it
18 is irrelevant to any claim construction. It's
19 irrelevant to any real world understanding of the
20 height of the table. And I used a similar common sense
21 standard in understanding these claim constructions.

22 Q. But you would agree that there's no language
23 of quantity in this adopted claimed construction for
24 those varying terms, right?

25 A. There is the ordinary meaning of increasing

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1 and decreasing, which --

2 Q. Okay. But the words "de minimus" don't appear
3 in the construction, do they?

4 A. No. It's my understanding that any time that
5 a word is used in a claim, it's intended to be used in
6 an ordinary way where an insignificant or insubstantial
7 amount is not relevant.

8 Q. Fair enough.

9 The word "substantial" or "insubstantial" also
10 doesn't appear in the joint construction here, does it?

11 A. Not explicitly.

12 Q. Okay. So let's turn back now to Page 41, and
13 let's talk about the Motorola single chip microcomputer
14 data book reference. You express an opinion about this
15 reference in Paragraphs 92 and 93, and then you have a
16 claim chart in Exhibit C to Exhibit 4, your opening
17 report, right?

18 A. Yes.

19 Q. And it's your opinion that that document,
20 which I'm going to refer to as the Motorola reference,
21 okay -- it's your opinion that that Motorola reference
22 anticipates the claims of the '336 Patent, correct?

23 A. As those claims have been applied by TPL in
24 its infringement allegations. The Motorola chip has a
25 fixed clock. It has the same type of a fixed clock as

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1 all the Accused Products.

2 And if the term "variable clock" and related
3 terms are properly understood, I don't believe that
4 they apply to fixed clocks. But the Motorola reference
5 has the same characteristics as the Accused Products.
6 And if you apply the claims in the way they've been
7 applied by TPL, then only under that situation would
8 the Motorola reference anticipate.

9 Q. I see.

10 So if you apply the constructions in the way
11 that you understand them, then the Motorola reference
12 would not anticipate the claims of the '336 Patent. Is
13 that fair?

14 A. If I applied them so -- the constructions so
15 that none of the claims cover a fixed speed clock, then
16 the Motorola reference would not anticipate. And
17 that's, I believe, the correct way to apply the
18 constructions.

19 Q. And so, if you apply the constructions in the
20 way that you believe is correct, the Motorola reference
21 does not anticipate the claims of the '336 Patent,
22 right?

23 A. As I explained before. So if -- if you
24 ex -- apply them in the way that I believe is correct,
25 they would not apply to fixed speed clocks.

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1 Q. I want to make sure you have an accurate copy
2 here.

3 All right. So turning to the back, I just
4 want to point out that this document was dated, what
5 does it say there, April 17th, 2013, right?

6 A. Yes.

7 Q. Okay. And in your report, you said
8 April 13th, 2013. And I just want to make sure this is
9 actually the same report that you meant to refer to,
10 right?

11 A. It appears the same. I may have reviewed a
12 draft.

13 Q. Okay. I thought it was that it looked like
14 some of the figures from this report are the ones that
15 you've incorporated in your report now.

16 Okay. So you say, here in Paragraph 31 of
17 Exhibit 5, you say, I found particular portions of that
18 report -- you're referring to Dr. Subramanian's report
19 that we just marked as Exhibit 9 -- to be useful with
20 respect to this matter and to be clear and accurate. I
21 have adopted and/or adapted these portions of his
22 report into this report where it will be helpful for
23 the Court, right?

24 A. Yes.

25 Q. So you agreed with

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1 Dr. Subramanian's -- several of Dr. Subramanian's
2 opinions that he expressed in Exhibit 9, right?

3 A. To the extent that I incorporated them. In
4 general, I didn't treat anything that I incorporated as
5 an opinion, as much as a summary of the background.
6 But anything -- anything that I incorporated in my own
7 report, I agreed with.

8 Q. And, in fact, you said that his background was
9 clear and accurate, right?

10 A. The portions that I -- that I copied.

11 Q. And beginning on Page 14 of your report,
12 Exhibit 5, you discuss clock signals, right?

13 A. In a very general sense, yes.

14 Q. And you show a clock signal here at the bottom
15 of Page 14 of your rebuttal report, right?

16 A. Yes.

17 Q. And then, as we discussed on the next page, on
18 Page 15, you say that the ordinary meaning of frequency
19 applied to clock signals is counting the number of
20 oscillations between a zero value and a one value
21 during a specific time, right?

22 A. Yes, the number of -- of complete cycles in a
23 given time period.

24 Q. Okay. Could you also say that a clock
25 frequency could also mean counting the number of clock

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1 edges that occur during a specific time?

2 A. They would be related. If you have a square
3 wave clock and you only count the edges in one
4 direction for a specific period of time, then that
5 would give you the frequency.

6 Q. So, for example, if you counted only the
7 rising edge of the clock signal, that would give you
8 the frequency of the clock signal, right?

9 A. You could calculate it from that place.

10 Q. And similarly, you could calculate it from
11 counting the falling edge of the clock signal as well,
12 right?

13 A. Yes, if it is a simple square wave clock like
14 the one that's illustrated.

15 Q. And when you say the one that's illustrated,
16 you're referring to the figure at the bottom of Page 14
17 of Exhibit 5, right?

18 A. Yes.

19 Q. And so when we're talking about the rising
20 edge of the clock signal, we're talking about the
21 vertical one that goes from a value of 0 to 1, correct?

22 A. Generally, that's true.

23 Q. And the falling edge is the value that goes
24 from 1 to 0, right?

25 A. In a simple example like this, yes.

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1 Q. Okay. And so the spacing of those clock edges
2 affects the frequency of the clock signal, right?

3 A. In aggregate, not necessarily the spacing of
4 an individual edge, but the average spacing over a
5 period of time is used to determine the frequency.

6 Q. Okay. Fair enough.

7 And you also talk about -- in your report, you
8 talk about a ring oscillator. Can you tell me just
9 generally what is a ring oscillator in your
10 understanding?

11 A. Well, do you want my general understanding
12 distinct from the case, or do you want my -- otherwise,
13 the way I applied it was as it's been construed for us.

14 Q. Okay. So you applied the Court's
15 construction.

16 Let me ask you. Separate from the Court's
17 construction, what is your understanding of what a ring
18 oscillator is, just generally?

19 A. Generally, before I came to this case, a ring
20 oscillator is the thing that the patent calls the
21 familiar ring oscillator. It's a structure that's most
22 commonly used in a test circuit at the edge of a chip.
23 It consists of an odd number of inverters or
24 inverter-like elements in a loop, in a way that its
25 oscillating speed is unregulated, so that it runs at

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1 correct?

2 A. Yes.

3 Q. And so this is well before the Court ever
4 construed the term "ring oscillator" in this case.
5 This would be a common -- common sense or plain meaning
6 type application of the term "ring oscillator," right?

7 A. Not that I was familiar with. But again, I
8 was 15 in 1978, so I didn't spend a lot of time
9 thinking about ring oscillators.

10 Q. Okay. But the ring oscillator shown here in
11 Figure 1-A, it receives a control input, doesn't it?

12 A. Yes, it -- and again, I haven't read this
13 patent and I don't fully understand this circuit, but
14 it appears to be a voltage controlled oscillator of
15 some type.

16 Q. So this voltage controlled oscillator is
17 actually a ring oscillator, at least as the inventors
18 of this patent understood it in 1978, right?

19 A. The '950 Patent uses that term for a portion
20 of Figure 1-A, but, again, I haven't really read this
21 patent. I don't know how they're using that term.

22 Q. Okay. But I just wanted to make sure I
23 understood, because I think previously you told me that
24 your understanding outside of this case is that a ring
25 oscillator is only a non-controlled oscillator, and

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1 that you weren't aware of a usage to describe a
2 controlled oscillator as a ring oscillator, right?

3 A. That's true. I was not aware of it -- of the
4 term being used that way commonly or at all.

5 Q. But this patent does use the phrase "ring
6 oscillator" to describe that controlled oscillator
7 shown in Figure 1-A, right?

8 A. It does.

9 Q. Okay. Turning back to Exhibit 9,
10 Dr. Subramanian's report. In Paragraph 97, it says
11 that, the transistors that make up the inversion stages
12 of the ring oscillator do not turn on and off
13 instantaneously.

14 Do you see that?

15 A. I'm sorry. I'm in the wrong place. This is
16 Exhibit 9, Paragraph 97?

17 Q. Yeah.

18 A. Oh, it's important to know that these
19 transistors do not turn on and off instantaneously. I
20 do see that.

21 Q. And do you agree with that?

22 A. That MOS transistors as illustrated do not
23 turn on and off instantaneously?

24 Q. Right.

25 A. That is true.

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1 Q. Okay. And then he goes on to say that this
2 delay is what allows us to control the frequency of
3 oscillation when we put these transistors in a loop,
4 right?

5 A. Yes.

6 Q. Do you agree with that statement?

7 A. It's one of the factors. I don't know that
8 it's the only or the dominant factor.

9 Q. Right. What other factors?

10 A. There's a propagation delay caused by the
11 resistance of the transistor in one inverter in series
12 with the capacitance with the gate of another inverter
13 that provides some portion of the delay in one inverter
14 communicating its signal to another.

15 Q. Okay. So the propagation delay that you just
16 mentioned is different than the delay across the
17 transistors; is that right?

18 A. I'm not a device physicist, but my
19 understanding is that, when we do the analysis, we
20 typically treat them separately.

21 Q. And so the propagation delay then also
22 contributes to the frequency of the ring oscillator,
23 right?

24 MR. WALKER: Object to the form.

25 THE WITNESS: That depends what you mean by

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1 propagation delay. Propagation delay is usually the
2 aggregate of all those delay effects.

3 BY MR. MARSH:

4 Q. Okay. So is the propagation delay related to
5 the frequency of a ring oscillator?

6 A. Yes, in a -- in a -- at a very general level,
7 the frequency of a ring oscillator is determined by the
8 average propagation delay around the loop.

9 Q. So you would agree with Dr. Subramanian's
10 testimony in the ITC that the propagation delays
11 determined the speed or frequency of the oscillation in
12 ring oscillators, right?

13 A. I don't know. I didn't hear the testimony. I
14 don't know what the context was or I didn't know what
15 the question was.

16 Q. Understood. Do you agree with the statement
17 that I just made?

18 A. Can you repeat it?

19 Q. Yes. Do you agree that the propagation delay
20 was determined -- oops. Hold on. Let me restate it.

21 Do you agree that the propagation delay
22 determines the speed or frequency of oscillation in
23 ring oscillators?

24 A. If a ring oscillator -- I think that's always
25 true. I think that basically by definition,

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1 propagation delay is the measure of the complete signal
2 path. So it would -- I don't know that it determines
3 the frequency, but it -- it -- I think, if we're using
4 the terms the same way, it's tautologically related to
5 the frequency, that the delay, the average delay
6 between pulses, is going to be the inverse of the
7 frequency.

8 Q. Do propagation delays associated with the
9 transistors on a chip determine the speed or frequency
10 of the CPU of that chip?

11 A. They contribute to it, but the calculation's
12 extraordinarily complex.

13 First place, the propagation delay of each
14 transistor is dependent on how it's connected, what
15 wiring is connected to it, what the capacitive load is,
16 what the size and shape of the transistor are.

17 And then, even if you have all the propagation
18 delays, you have to analyze them in circuit, in paths
19 and determine how minimum and maximum paths interact.
20 It's an extraordinarily complex problem. And typically
21 when one designs a CPU, they will run hours, maybe
22 thousands of hours of analysis, to try to determine
23 what combination of transistor delays, transistor
24 propagation delays, impact the minimum and maximum
25 speed of the chip; and those things get combined in

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1 very complex and nonlinear ways.

2 Q. In general on a chip, when an oscillator is
3 used to clock a CPU, would you agree that the frequency
4 of the CPU will always track the frequency of the
5 oscillator clocking it?

6 A. It depends. One, when we're talking an
7 oscillator clocking the CPU, if we're talking about it
8 actually directly providing the clock, I would need to
9 understand that.

10 So if I make that assumption, it still depends
11 on what level you're -- you're looking at it. If you
12 look at it at a normal engineering macroscopic level,
13 that would be true. If you look at it at a microscopic
14 level where you're looking at tiny deviations, then
15 there's always things that can cause tiny timing
16 deviations between an oscillator and a CPU.

17 And if you look at it at a tiny level below
18 the tolerance levels, they may not track.

19 Q. Understood. So in a normal operating
20 condition where an oscillator is used to clock a CPU on
21 a chip, you would agree that the -- the frequency of
22 the CPU will vary with the frequency of the oscillation
23 that's clocking that CPU, right?

24 A. If the oscillation is directly clocking the
25 CPU, then at a higher level to within some level of

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1 precision, they will track. But if you try to analyze
2 them perfectly to infinite precision, then they will
3 not track.

4 Q. Can you describe generally what a PLL is?

5 A. Yes.

6 But -- but maybe.

7 So the term is used in different ways in the
8 art. It means phase-locked loop, and it's used for a
9 variety of different kinds of circuits that lock the
10 phase of one signal to another; and there are different
11 kinds of circuits that use that term. I can either
12 start to enumerate them for you or I can, if you
13 prefer, describe the one that's common in CPU clock
14 generating circuits.

15 Q. Well, why don't we focus -- you provided a
16 figure on Page 20 from an old patent dated 1970. This
17 is in Exhibit 5 to this deposition.

18 A. Yes.

19 Q. Now, is this kind of a typical PLL
20 configuration?

21 A. Yes. This one's a little bit archaic, but
22 it's conceptually -- it conceptually describes what a
23 PLL does.

24 A more modern one probably would not have a D
25 to A converter. It would have a charge pump instead.

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1 MR. WALKER: If we're going off into -- before
2 we get off it, it's been about two hours.

3 MR. MARSH: Sure. Why don't we go ahead and
4 take a break?

5 THE VIDEOGRAPHER: We are off the record at
6 3:38 p.m.

7 (Short recess taken.)

8 THE VIDEOGRAPHER: We are back on the record
9 at 3:54 p.m.

10 BY MR. MARSH:

11 Q. So before we left, we were talking about this
12 figure on Page 20 of Exhibit 5, and this is a figure of
13 PLL. I notice that it includes this Block Number 7,
14 voltage controlled oscillator or VCO.

15 Does a PLL always include some type of
16 oscillator?

17 A. In general, no. But the PLLs that are
18 used -- well, this particular PLL architecture, or
19 architecture similar to it, always contain a voltage
20 controlled oscillator, but -- or a current controlled
21 oscillator, one or the other. That's actually not true
22 either. There are digital PLLs that have numerically
23 controlled oscillators.

24 So this type of architecture always includes
25 an oscillator, but there are other types of PLLs that

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1 only include delay elements.

2 Q. Okay. So digital oscillators that will be
3 incorporated on a microprocessor, those always include
4 some type of oscillator, right?

5 A. I think you asked me if oscillators always
6 include an oscillator.

7 Q. Sorry. Let me ask you this. Strike that last
8 question. Let's ask this.

9 Does a digital PLL that would be incorporated
10 on a microprocessor always include some kind of
11 oscillator?

12 A. No. You'd have to look at it to know what
13 kind of a PLL it was. But this type of a PLL, the kind
14 that has a frequency multiplier or a frequency
15 synthesizer in it would, I think, always contain an
16 oscillator.

17 Q. And what you refer to as a frequency
18 multiplier, that's part of the reason to use this
19 architecture in a microprocessor setting, right, is to
20 achieve a higher clock signal than you could achieve
21 with an off-chip crystal?

22 A. Not necessarily than you could achieve, but
23 that you prefer to generate a high speed signal on-chip
24 rather than off-chip and use the off-chip lower speed
25 signal as a phase reference.

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1 Q. Okay. So this type of architecture maybe with
2 some updates is the type of architecture that's
3 typically used in a microprocessor setting, right?

4 A. Yes, for microprocessor clock generation. The
5 other type is often used in high speed memory buses and
6 some other things. But for clock generation for the
7 microprocessor itself, it's not uncommon to use a
8 frequency synthesizer or frequency multiplier made from
9 this type of a PLL, or more modern version of this type
10 of PLL.

11 Q. And you mentioned both a VCO and an ICO.
12 Those are a voltage controlled oscillator and current
13 controlled oscillator, right?

14 A. Yes.

15 Q. And either one of those can be used in a PLL
16 using this architecture, correct?

17 A. You need to make some changes, but in general,
18 a skilled person can -- can substitute one for the
19 other, if they make the proper required changes.

20 Q. And do you agree that there's no relevant
21 distinction for this case between a VCO and an ICO, is
22 there?

23 A. I think that's true, although the claims
24 require neither. So I'm not sure how you'd make that
25 distinction.

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1 Q. Can a ring oscillator be a VCO?

2 A. Can a ring oscillator be a VCO? A ring as
3 it's been construed in this case?

4 Q. Yes.

5 A. There may be some weird way you could do it,
6 but not in a normal way. Normally, the idea of having
7 a VCO, especially within a PLL, is that you make it so
8 that it won't vary with respect to process, voltage and
9 temperature. That's the idea of putting it into a
10 phase-lock loop.

11 Q. Can a ring oscillator be an ICO?

12 A. Again, not as it's been construed, not in the
13 way that it would normally be used within a PLL.

14 Q. Looking at the figure on Page 20 of Exhibit 5,
15 what's the most common type of oscillator to use on
16 this type of architecture for a PLL?

17 A. A VCO.

18 Q. You mention, beginning on Page 23, a number of
19 alternatives that you say can be used to create a VCO
20 that can be used in a PLL, right?

21 A. Yes.

22 Q. And on Page 24, you show at the top of the
23 page, a differential inverter, correct?

24 A. I show an oscillator that includes
25 differential inverters within it, yes.

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1 Q. And is the oscillator shown in that figure at
2 the top of Page 24 of Exhibit 5 a ring oscillator?

3 A. I don't know if I have enough information
4 there. For one, it would depend on what it's hooked
5 to, where those inputs are coming from. So you would
6 need that to know that this -- and then I would
7 generally interpret this as only having a single
8 inversion and not multiple inversions.

9 Q. So it includes inverter elements, right?

10 A. They're called differential inverters, but
11 because of the -- it really depends on how you hook
12 them up as to whether or not they have an inversion
13 function.

14 Q. Are you aware that Dr. Subramanian referred to
15 this very same figure in the ITC case and indicated
16 that it was a ring oscillator under a very similar
17 construction?

18 A. I don't know what construction he was
19 applying, and I'm not familiar with his testimony.

20 Q. So you don't know whether or not you agree or
21 disagree with his characterization of the differential
22 inverter at the top of Page 24 of Exhibit 5 as a ring
23 oscillator?

24 A. That's true.

25 Q. A PLL is a circuit that's used to adjust the

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1 frequency of an oscillator, right?

2 A. Well, again, it depends on what kind of PLL
3 you have.

4 PLL is used to lock phase. If that PLL is a
5 frequency multiplier, then it's being used to lock the
6 phase of one portion of -- of a circuit to another.

7 Q. Okay. So looking at the -- the configuration
8 shown in the figure at the top of Page 20, that PLL
9 circuit is used to adjust the frequency of the voltage
10 controlled oscillator shown in that figure, right?

11 A. Not really to adjust it, as much as to set it.
12 The idea is to set it to a stable value that
13 corresponds to the reference oscillator.

14 Q. And what component of the figure shown here at
15 the top of Page 20 of Exhibit 5 generates the frequency
16 of the PLL?

17 A. And what do you mean by the frequency of PLL?
18 The FM output signal?

19 Q. Yes.

20 A. In this particular design, the FM output
21 signal is generated by the voltage control oscillator,
22 SATA.

23 Q. Thank you.

24 When you reviewed Dr. Subramanian's report, do
25 you remember an analogy where he compared a PLL to a

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1 cruise control of a car?

2 A. I do.

3 Q. And do you agree that a PLL is analogous to a
4 car's cruise control?

5 A. At a very, very high level. There's potential
6 for them -- for that to be misleading. There are
7 decisions that one would make in designing a cruise
8 control that are different than one would typically or
9 necessarily make in designing a PLL, but they're both
10 examples of feedback control systems.

11 Q. Let me have you take a look in Exhibit 9 at
12 Page 38. I want to look at that analogy that
13 Mr. Subramanian cited.

14 A. I'm sorry? What page again?

15 Q. Page 38, looking at Paragraph 100 of Exhibit
16 9.

17 And in that paragraph, Dr. Subramanian says,
18 like a cruise control keeping the car at a fixed speed,
19 the PLL will maintain a fixed frequency by telling the
20 controlled oscillator to slow down if the oscillator
21 starts to speed up, and by instructing the oscillator
22 to speed up if it starts to slow down.

23 Do you see that?

24 A. Yes.

25 Q. Do you agree with that statement?

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1 A. Again, it depends what scale you're looking
2 at.

3 That is generally true, but it -- it -- in a
4 well-designed PLL, like the ones that we've seen,
5 because of the filter, there's not really any speeding
6 up or slowing down. Or if there is, it's by a
7 minuscule amount, by what we would call a differential
8 amount. It's by a -- a very tiny bit, that as soon as
9 the oscillator slows down, you know, the minimum
10 measurable amount -- it could be one part per million
11 or one part per billion -- that affects the rest of the
12 circuit which compensates for it. And that filter,
13 which we see in most of these drawings, makes those
14 adjustments extremely gradual, much more so than in any
15 real cruise control that you would see, and extremely
16 fast.

17 So while conceptually that's true, these
18 adjustments are so small and so fast that they may not
19 be observable.

20 Q. What causes the oscillator to speed up such
21 that the PLL has to try to adjust its frequency?

22 A. There can be a number of causes. It could
23 be -- it's not that it speeds up. It's that it's too
24 fast. And it may be that, because of the property
25 control loops, control loops are never perfect, but

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1 they can get extremely close to perfect.

2 So sometimes there may just be a little
3 oscillation where something keeps switching back and
4 forth between being a tiny bit too fast and a tiny bit
5 too slow. So that would be the primary situation is
6 that it's just not possible to achieve perfection.

7 Q. So I guess I didn't hear there what the cause
8 of that switching back or speeding up or however you
9 want to characterize it as --

10 A. Well, the circuit's not designed to
11 differentiate between causes, so we would have to
12 speculate as to what the cause of any particular
13 frequency error is, no matter how small.

14 It could be that -- that there's electrical
15 noise. That could make it go a tiny bit faster or a
16 tiny bit slower. As I said, the most common thing is
17 that, whatever the last adjustment was, overcompensated
18 because these compensations aren't exact. So usually
19 when an oscillator is going too fast, it's because it
20 was going too slow some small period of time ago, and
21 the feedback loop overcompensated for it. Those would
22 be the dominant factors.

23 But it could be some other change in the
24 operating conditions that cause a tiny short-term
25 change that requires compensation. But it just as

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1 likely could be an overcompensation from some previous
2 effect.

3 Q. Could one of those changes be a temperature
4 change?

5 A. Theoretically, although temperature changes
6 would tend to happen so much more slowly than those
7 other effects, that it would be unusual, maybe even
8 impossible, to tie a single compensation change to a
9 temperature change.

10 Generally, again, just like when you're
11 steering down the highway, you're always making
12 minuscule up and down changes to stay as close to that
13 line as possible. And a temperature change would
14 aggregate over millions or billions of these tiny, tiny
15 up and down changes.

16 Q. Could one of those changes be a voltage
17 change?

18 A. Depends what you mean by a voltage change.

19 A supply voltage change?

20 Q. Any kind of voltage change.

21 A. Well, the control voltage, as I said, is
22 always adjusting. I mean, that's just the fundamental
23 way you control the voltage controlled oscillator.

24 Q. How about a change in the voltage that is
25 associated with the power supply to the chip?

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1 A. Most of those would show up as noise. I mean,
2 the dominant voltage changes are noise and not changes
3 in the nominal value.

4 But a change in voltage -- I mean, a drifted
5 voltage, again, would happen over such an enormous
6 period of time that it would aggregate over billions of
7 tiny little up and down changes. A sudden voltage
8 change, that's part of the noise cat -- category. A
9 sudden voltage change just is noise and could have a
10 short-term effect of either speeding up or slowing
11 down.

12 Q. Let's -- let's look back at Page 20 at the
13 figure on that page. And I want to ask you. The PLL
14 shown here, it only adjusts the frequency of the VCO
15 when there's a difference between the phase of a
16 divided down version of that -- the frequency generated
17 by that VCO and the frequency of the reference
18 oscillator, right?

19 A. I'd have to go back and read this patent to
20 know when this particular one makes those adjustments.
21 It depends how all past filters and the D to A have
22 been implemented. I don't recall for this particular
23 design.

24 Q. But in general, that's true, isn't it, that a
25 PLL only adjusts when there is a phase difference,

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1 right?

2 A. Yes. On each reference clock edge, it will
3 make an adjustment. But then those adjustments get
4 low-pass filtered. So they get changed, and generally
5 they get low-pass filtered in the analog domain, so
6 that contribution gets spread over time, that decision
7 gets spread over time by the low-pass filter.

8 Q. Understood.

9 So if the PLL is adjusting the frequency at
10 any given time, that means that the signal of the VCO
11 and the reference oscillator are out of phase, right?

12 A. By some amount. Again, it could be very, very
13 small. But yes, there's some measurable phase
14 differential.

15 Q. And if they're out of phase, there wouldn't be
16 a predictable phase relationship between them then,
17 would there?

18 A. It's -- well, it's predictable to within a
19 degree of precision, right? So you can only measure
20 anything with a certain degree of precision, and a PLL
21 will have an adjustment unit, right?

22 So as I said, you can never adjust anything to
23 be perfect, so it's going to adjust things to be
24 accurate within a band. So it may have a phase
25 tolerance of, out of 360 degrees of phase, of plus or

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1 minus a half a degree. So it would keep things to
2 within -- it would keep the phase relationship to
3 within that tolerance, but it can't keep it perfect.

4 Q. Now, a PLL, when they try to control the
5 frequency of the oscillator, they can only control that
6 frequency to within a certain range, right, much like
7 you just described?

8 A. I'm not sure if we're saying the same thing.
9 They can only control a frequency to within a certain
10 tolerance. I mean, nothing can make a perfect
11 frequency. You cannot create a PLL that will give you
12 a perfect 300 megahertz frequency. It's always going
13 to be plus or minus some value. That value can be
14 very, very small, but there's always going to be some
15 tolerance.

16 Q. And is there a name for that tolerance or that
17 range to which a PLL can control the frequency?

18 A. At that broad level, I think it's just called
19 the frequency tolerance.

20 Q. Have you ever heard the term "dead band"?

21 A. Dead band's a little bit different, but a
22 similar situation, where dead band is -- yes. The dead
23 band would be the -- something within the tolerance.
24 It would be the -- something that -- that's below the
25 adjustment range. It's below the level of precision of

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1 control.

2 Q. Why do they call it a dead band?

3 A. I think it's because there's a range of
4 frequencies, and a range of frequencies is always
5 called a band. And I think that within that range, the
6 differences are so small that you can't measure them;
7 and therefore, you can't make any adjustments. So
8 rather than you doing live updating, the system's kind
9 of dead and the error is so small that you can't
10 measure it and you can't react; so that's described as
11 being dead.

12 Q. So you mentioned that the system is dead.

13 That's a range in which the PLL is not
14 adjusting the frequency, right?

15 A. It's not adjusting it because it's determined
16 that the error is so small that it can't measure it.

17 Q. Have you ever heard of the term "binning" with
18 respect to integrated circuits?

19 A. Yes.

20 Q. What is binning?

21 A. It -- it varies. The most common usage is for
22 expensive digital integrated circuits, like CPU
23 microprocessors in which there's potential to obtain a
24 premium price for faster products.

25 So products can be tested, and as they're

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1 tested, they're placed into bins that describe the test
2 results, so that if you measure that ten percent of the
3 product's faster, you may be able to sell those for a
4 premium price. Lots of products don't get binned.
5 Some products get binned based on other characteristics
6 like estimates of reliability or operating voltage
7 ranges or other kinds of characteristics. Just depends
8 on the market.

9 But most products don't get binned. A few
10 high price products do.

11 Q. So products that get binned, they're grouped
12 together with other products that have experienced
13 similar processing, right?

14 A. It depends what you mean by similar
15 processing. They've gone through the same machines,
16 but somehow they've turned out different. Generally,
17 that's because there's been some difference in the
18 processing, something uncontrolled that caused them to
19 turn out different than other things that have gone
20 through the same machines.

21 Q. So, in other words, there are process
22 variations then that relate to the different bins,
23 right?

24 A. Usually, yes. Yes. Usually, the quality of
25 process variation. So if you've controlled everything

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1 very, very carefully, you may be able to build a four
2 gigahertz microprocessor. But if -- if, you know, the
3 purity of your gases one day wasn't as good or if the
4 purity of the gas wasn't as good in one corner of your
5 chamber as in the middle, maybe some of your parts are
6 five percent slower.

7 So, in very select markets, there's enough of
8 a price premium that it's worth characterizing those
9 parts, placing them in different bins, getting them
10 different part numbers.

11 Q. And do you know whether the processors in the
12 Accused Products shown in Exhibits 7 and 8 are subject
13 to binning or binned?

14 A. I don't know for a fact. I would assume that
15 in general they're not. And if they are, it's not
16 based on the accused structures.

17 Typically, network controllers are not binned
18 because they all sell to the same application at the
19 same price. Typically, memory interfaces for flash
20 memory are not binned, and when most disc drive
21 controllers are not binned -- and when they are binned,
22 it's based on the capability of the read channel and
23 not on the processor clock speed.

24 Q. But you said you don't know for a fact.
25 That's an assumption on your part that the processors

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1 in the Accused Products are not binned, right?

2 A. That's right. They've thought of product
3 categories that are generally not binned, but I don't
4 know for those particular part numbers.

5 Q. You mentioned that they might be binned based
6 on something other than the accused structures.

7 What did you mean by that?

8 A. Sometimes for a disc controller -- and it
9 varies from year to year depending on where the market
10 is -- there's some value in binning them based on read
11 channel speed so that one can build a higher density
12 disc drive, but not based on CPU speed.

13 So the CPUs would all run at the same speed.
14 There's a separate PLL that controls the speed of the
15 read channel, and there's a separate read channel
16 amplifier. And sometimes it makes sense to bin those
17 products based on the read channel amplifier speed, but
18 not based on CPU clock speed.

19 Again, somebody might do it, but I'm not aware
20 of anybody in that market who's doing it.

21 Q. You said in that case, the CPUs would be all
22 the same speed. But you really don't know, do you, if
23 they're not binned based on the CPU speed, whether the
24 CPUs are all the same speeds?

25 A. Well, they are, because -- I don't know if

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1 they all have the same speed capability, but they're
2 all operating at the same speed. They all run the same
3 firmware. They all run the same reference clock.

4 Again, this is from an engineering
5 perspective. They could differ by a hundredth of a
6 percent or something between two units, but they go
7 through the factory and they're all set for the same
8 fixed speed.

9 Q. Yeah. Understood. I guess my question's a
10 little bit different, and that is, if they're binning
11 based on the characterization and the way that the read
12 channel works and that is different because of process
13 variations, we don't know whether or not, according to
14 your testimony, whether those process variations might
15 also affect the transistors that make up the CPUs on
16 the same chip, do we?

17 A. No. We'd have to know two things. One, as I
18 said, generally there are different kinds of
19 transistors on these chips, and the fact that one type
20 of transistor is faster doesn't necessarily mean that
21 the transistors in the CPU are faster.

22 And it's possible that the CPUs in some of the
23 binned chips could potentially run at a higher clock
24 speed. They just don't because they're connected to a
25 fixed clock that runs at a fixed speed.

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1 Q. Do you know whether the chips in the Accused
2 Products in Exhibits 7 and 8, whether they have
3 transistors that make up the CPU that are different
4 from the transistors that make up the oscillator that
5 clocks the CPU?

6 A. Not for certain. That would be common.
7 Usually, one would use an analog transistor type for
8 the PLL and a different digital transistor type for the
9 CPU, but that's not universal.

10 Q. So you don't know one way or another with
11 respect to the Accused Products?

12 A. Well, let me hear the whole question again.

13 Q. My question is, whether the transistors that
14 make up the CPU on the chips in the Accused Products in
15 Exhibits 7 and 8 are different than the transistors
16 that make up the oscillator that clocks that CPU on the
17 same chip?

18 A. I don't know whether or not they're built from
19 the same process steps.

20 Given my knowledge of CPU design, it would be
21 almost impossible for them to have the same size and
22 shape, but I don't have any facts other than my
23 knowledge of the fact that it would be almost
24 impossible to design a working CPU with the
25 characteristics that are in the data sheet, if the

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1 transistors had the same size and shape as the clock
2 oscillator.

3 Q. Claim 6 of the '336 Patent refers to
4 fabrication for operational parameters, right?

5 A. Yes.

6 Q. What's a fabrication parameter?

7 A. I believe it's a measurable characteristic of
8 the materials that is due to manufacturing.

9 Q. And so if there are variations in a
10 fabrication parameter, those are process variations,
11 right?

12 A. They're result variations. In other words,
13 two chips may have gone through was intended to be the
14 same process, but you ended up with different things at
15 some level. And these processes are so -- so far out
16 in the extremes of manufacturing technology that you
17 can have a 30 percent difference between two things
18 that were manufactured on the same wafer.

19 Q. Let me have you look at Exhibit 9, Page 39.
20 In Paragraph 102, Dr. Subramanian discussed the
21 possibility of chips that might have the same design
22 and the same architecture, but yet might be graded at
23 different frequencies.

24 Do you see that?

25 A. Yes.

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1 Q. That's binning, right? That's what we've been
2 talking about?

3 A. Yes.

4 Q. And that's due to process variations, right?

5 A. Primarily. Primarily, it's due to -- again,
6 we need to be clear what we're talking about by process
7 variation. It doesn't mean they were intentionally
8 made differently, but it means that they turned out
9 differently in the manufacturing process.

10 Q. And they turned out differently because there
11 was some difference or some variation in the process
12 that was applied to the different chips, right?

13 A. Yes, at some level. Again, we're talking
14 about super tiny things, and sometimes you're just down
15 into quantum mechanics and how crystals line up and
16 things like that.

17 But somehow there was a difference in the
18 manufacturing process.

19 Q. And that process variability, that affects
20 performance of the microprocessors, right?

21 A. It can affect performance in very complex
22 ways, either up or down.

23 Q. Does process variation affect propagation
24 delay?

25 A. It can affect propagation delay of a single

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1 transistor.

2 Q. Dr. Subramanian testified that temperature,
3 voltage and process can affect transistor propagation
4 delays.

5 Do you agree with that statement?

6 A. Depends how the transistor is being used. In
7 the way that you would measure and test an individual
8 transistor, that would be true. Once a transistor is
9 placed in a circuit, it may not be true anymore.

10 Q. Let's take a look at Exhibit 5 on Page 44.
11 This is your rebuttal report. And in Section 8.1.2 of
12 your rebuttal report, you say that the accused clock or
13 oscillator does not vary as the term is used in the
14 '336 Patent as would be understood by a person of
15 ordinary skill in the art, right?

16 A. I'm sorry. I didn't follow where you were.

17 Q. Sorry. I'm reading -- probably because I
18 didn't explain it very well.

19 So looking at Paragraph 81, for example, you
20 say that the oscillator in the Accused Products are
21 different structures -- I'm sorry. Strike that.

22 In Paragraph 80 on Page 45, you say that the
23 claim limitations that include the phrases, the first
24 clock limitations of the '336 Patent, you say that
25 they're not present in any of the Accused Products.

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1 And so none of those products infringe, right?

2 A. Yes.

3 Q. Okay. And down below you say, in Paragraph
4 82, that the '336 Patent clearly indicates that its
5 references to a variable speed clock refer to a clock
6 that provides a frequency that varies substantially,
7 right?

8 A. That's part of what it says, yes.

9 Q. And what is the basis for that statement?

10 A. The things we've talked about before, ordinary
11 meaning of variable speed clock; the fact that the
12 patent clearly differentiates a variable speed clock
13 from a fixed frequency clock, a fixed speed clock. All
14 the teachings of the patent about the variable speed
15 clock are teaching how it's different than a
16 traditional clock oscillator.

17 A traditional clock oscillator will have
18 uncertainty. It will have jitter. Those aren't things
19 that make something a variable speed clock from the
20 perspective of the patent or from the perspective of a
21 person of ordinary skill.

22 Q. Okay. Well, let's take a look at the patent,
23 which is Exhibit 2. And I think we discussed
24 previously that the word "substantially" doesn't appear
25 in the claims, right?

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1 A. That's true.

2 Q. For instance, if we look at Claim 6, the word
3 "substantially" doesn't appear in that claim, does it?

4 A. That's true.

5 Q. And you mentioned here that the patent, the
6 '336 Patent, refers to a variable speed clock, right?

7 A. In different ways. I mean, I don't think
8 that's the exact language for most of the claims,
9 but -- and there's various things that I list in my
10 report that describe a variable speed clock.

11 Q. Okay. But Claim 6 has a clock that's just
12 described or claimed as an entire oscillator, doesn't
13 it?

14 A. Yes. But it also says requires varying the
15 processing frequency of that oscillator.

16 Q. Understood. But that's separate language,
17 right?

18 I mean, the oscillator itself isn't described
19 as a variable speed clock or a ring oscillator variable
20 speed clock.

21 This is a different claim, right?

22 A. It's not described as a ring oscillator
23 variable speed clock, but I put the language and the
24 reasoning into Paragraph 80. It certainly is a clock
25 that varies. So when I use the general term "variable

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1 speed clock" to refer to what's disclosed in the
2 patent, sometimes the claims call it a variable speed
3 system clock and sometimes they call it a clock that
4 varies its processing frequency or varies its clock
5 rate.

6 Q. I see. So you're referring to the language
7 here that you attribute to Claims 6, 7 and 9 in
8 Paragraph 80 of Exhibit 5, right, the language
9 that -- it's really the varying terms of -- the varying
10 claim term of those claims, right?

11 A. In those three claims, that's right.

12 Q. Okay. But that's not the first clock or the
13 oscillator claim term, is it?

14 A. Well, it's a required limitation of the first
15 clock.

16 Q. Okay. But it's a separate limitation. I
17 mean, the first clock is described here or claimed here
18 as an entire oscillator, right?

19 A. Yes, but it doesn't change the fact that you
20 can't practice Claims 6,7 or 9 with a fixed speed clock
21 that doesn't vary.

22 Q. So you go on in your report to cite a single
23 example in Paragraph 82, which goes over onto Page 46
24 of Exhibit 5.

25 That's a single example from the specification

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1 that you say indicates that the -- the clock varies by
2 100 percent over a temperature range, right?

3 A. That's the only quantitative example. As I
4 mentioned in 81, it also notes that a fixed clock is
5 different than a variable speed CPU. And that's in
6 Column 17.

7 Q. Yeah. Understood.

8 Is this -- is there any language in the '336
9 Patent that you're aware of that says that the claim
10 should be limited to that particular example that you
11 cite in Paragraph 82 of Exhibit 5?

12 A. No.

13 Q. And are you aware of anything in prosecution
14 history that would indicate an intention to limit the
15 claims to that example?

16 A. No. I do think both the claim language and
17 the prosecution history would limit the claims to a
18 substantial variation. And this is one example of,
19 given a substantial variation. But I don't think that
20 for -- I don't think that you have to vary by 100
21 percent between 70 C and room temperature in order to
22 practice the claims.

23 Q. Okay. So let's unpack what you said there a
24 little bit.

25 You said that both the claim language and the

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1 prosecution history would limit the claims to a
2 substantial variation. So let me ask first about which
3 claim language of Claim 6 would limit that claim to a
4 substantial variation?

5 A. The language that I cited in Paragraph 80,
6 that the claims require varying the processing
7 frequency of said first plurality of electronic devices
8 and the clock rate of said second plurality of
9 electronic devices in the same way, as a function of
10 parameter variation, et cetera.

11 Q. So I saw varying. I didn't see substantially
12 there, right?

13 A. Yes.

14 Q. Okay.

15 A. Substantially is not literally there, but
16 that's how a person of ordinary skill would understand
17 it.

18 Q. Okay. Is there any other claim language that
19 you would say limits Claim 6 to a substantial
20 variation?

21 A. Nope. I think that's it.

22 Q. Okay. And what in the prosecution history
23 would limit the -- would limit Claim 6 to a substantial
24 variation?

25 A. I'd have to go back and review the history.

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1 But my recollection is that the claims were
2 distinguished from art that had fixed frequency
3 oscillators, and those fixed frequency oscillators,
4 like all circuits, all oscillators, would have had
5 negligible variations.

6 Q. But you don't cite anything from the
7 prosecution history here in your rebuttal report that
8 would require the claim language or -- invent some sort
9 of intent on the part of the applicant to limit the
10 claim language to a substantial variation only, do you?

11 A. Just the things that I cited in Paragraphs 80
12 and 81 that are in the specification -- I'm sorry -- 81
13 and 82. And it's very clear from the specification
14 itself and the claims that variable speed and fixed
15 speed are two different things. And that, together
16 with the ordinary meaning of vary, is what I relied on.

17 Q. But the specification doesn't tell us where to
18 draw that line, does it, between fixed speed and
19 variable speed?

20 A. Not quantitatively, no.

21 Q. And you testified earlier that you didn't
22 decide where that threshold should be, where that line
23 is between fixed speed and variable speed clocks,
24 right?

25 A. Not precisely. I decided it clearly had to be

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1 more than the clock tolerance. And certainly, the
2 example given in the patent is variable.

3 Q. In Paragraphs 89 to 93 of Exhibit 5, you
4 discuss jitter, right?

5 A. Mm-hmm. Yep.

6 Q. And so we talked about jitter earlier. One
7 question that I wanted to ask about this though is,
8 does jitter affect when a clock edge arrives at a CPU?

9 A. It can affect when an individual clock edge
10 arrives at a CPU.

11 Q. And if there's jitter, it's going to have an
12 affect on multiple clock edges as well, right?

13 A. No. Well, I mean, statistically, yes, but
14 it's a statistical property. Every clock edge is going
15 to have some jitter, no matter how small. No clock
16 edge is going to be perfect. But the jitter that
17 affects one clock edge may not impact the adjacent or
18 any other clock edge.

19 Q. Turning now to Page 56 of Exhibit 5. In
20 Section 8.1.3, you express an opinion that the accused
21 clock or oscillator does not vary either due to or as a
22 function of or relative to PVT parameters, right?

23 A. Correct.

24 Q. And you -- you quote here some language on
25 Page 57 of Exhibit 5 from Claims 6 and 13 and 10 and

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1 16, right?

2 A. Yes.

3 Q. And would you agree that those claims, they
4 only require variation due to one of the PVT
5 parameters, right?

6 A. Yes, for those four claims, that's true.

7 And in case I wasn't clear, my opinion is that
8 the accused oscillators don't vary as a function of any
9 of the three.

10 Q. But you did testify before, didn't you, that
11 process variations would affect any chip that's
12 manufactured according to a process that's subject to
13 process variability?

14 A. It would affect certain physical parameters,
15 but it would not necessarily affect the frequency of a
16 circuit.

17 That's why we design complex circuits, is so
18 that they're compensated so that they can operate in
19 the same way independently of changes.

20 That's the same reason why your television
21 operates the same way when you're at 108 volts as it
22 does at 110 volts, and it operates the same way at
23 72 degrees as it does at 74 degrees. It's because it's
24 been designed with sophisticated circuits that
25 compensate for and eliminate the impact of any of those

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1 kinds of changes.

2 Q. I understand where you're coming from.

3 Let me ask you this: Process variations are
4 baked in during the manufacturing process, right? I
5 mean, they're part of the chip. Once the chip is
6 manufactured, those process variations have already
7 affected the transistors on that chip?

8 A. That's actually not true for some pretty
9 complex reasons.

10 Q. I'm all ears.

11 A. These are physical materials that change over
12 time. They change due to a number of effects,
13 corrosion, carrier migration, something called
14 electromigration, where metal ions move when
15 circuits -- when current flows through; and in some
16 cases process variations affect the way that a chip
17 will physically and chemically change later, rather
18 than the way that it actually -- in addition to the way
19 that it actually exists when it leaves the factory.

20 Q. Okay. I understand.

21 So there are some qualities that process
22 variations will affect permanently once it is
23 manufactured, and there are some others that may also
24 continue to -- some post-processing variation that may
25 continue to be affected by any variation to the

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1 processing procedure, right?

2 A. That is true.

3 Q. So let's focus for a minute on the types of
4 characteristics that process variations fix in an
5 integrated circuit, okay?

6 MR. WALKER: Read that question back.

7 BY MR. MARSH:

8 Q. Well, it wasn't really a question. I want to
9 focus for just a minute on, not the types of
10 post-processing variations that process variations can
11 later cause to arise. I want to focus instead on the
12 permanent characteristics that are fixed because of
13 process variations.

14 Does that make sense?

15 A. I don't know whether or not you can segregate
16 them. I mean, what one would normally do is they would
17 measure the material as it leaves the factory, and then
18 you could measure it later.

19 So you can talk about how two chips vary at
20 the time that they're made or at the time that they're
21 sold. That's a logical thing to try to talk about.

22 Q. Okay. Let's talk about chips at the time that
23 they're sold.

24 So if those chips have been subject to some
25 process variations that caused the manufacturer to bin

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1 them according to CPU speed, then that means that the
2 CPU is only capable of operating at the speed that
3 corresponds to the bin that they're put in, right?

4 A. No.

5 MR. WALKER: Objection that it's an incomplete
6 and improper hypothetical.

7 Go ahead.

8 THE WITNESS: That's generally not true.

9 Binning is primarily not a manufacturing
10 driven activity. It's primarily a market driven
11 activity. So while it could be done in different ways,
12 typically you simply test to see whether or not a
13 product works at a certain speed. And then if it
14 passes or fails, you may test at a different speed.

15 And depending on how that's done and where the
16 market requirements are, it's not uncommon to have
17 chips in a bin that could run in a much faster speed,
18 but you don't have orders for the faster speed. So you
19 just test them at the speed that you have orders for
20 and figure out whether or not they should go in that
21 bin.

22 BY MR. MARSH:

23 Q. Well, let's talk about a situation where two
24 chips that have the same design go through
25 manufacturing, and they come out and they're tested and

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1 they run at different speeds?

2 A. Okay.

3 Q. And that's a common situation where one would
4 use binning, right?

5 A. One could use binning. Again --

6 Q. Okay.

7 A. -- you use binning when you have customers who
8 are willing to pay different prices at different
9 speeds. There are thousands and thousands of products
10 where you'll have chips that are at different speeds,
11 but you don't use binning because customers won't pay
12 different things for different speeds.

13 Q. Understood.

14 So let's say that those two chips that are
15 identical in design, but because of some variations in
16 the processes that they've both underwent, they run at
17 different speeds.

18 A. Okay.

19 Q. And let's say that the first chip runs at a
20 maximum of one gigahertz.

21 A. Okay.

22 Q. And the second chip runs at a maximum of two
23 gigahertz.

24 Does that make sense?

25 A. That would be very unusual, but --

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1 Q. That's a big process variation, sure.

2 A. But I can use that as a presumption.

3 Q. Yeah. I just want to use it as an example.

4 You wouldn't then try to clock the one
5 gigahertz at two gigahertz, would you?

6 A. No. You would either -- for most products,
7 you would clock them both at one gigahertz. But for
8 some products in certain product categories, you would
9 put two different part numbers on them and you would
10 clock one of them at one gigahertz and one of them at
11 two gigahertz.

12 Q. Sure.

13 So if you were then using a reference
14 oscillator to drive the frequency or to act as a
15 reference for a PLL on the chip -- strike that.

16 If you were using a crystal oscillator with
17 each of those, you wouldn't attempt to use a crystal
18 oscillator to cause the first chip that can only run at
19 a maximum speed of one gigahertz to run at two
20 gigahertz, would you?

21 A. I mean, you could.

22 MR. WALKER: Object to the form of the
23 question.

24 THE WITNESS: You could. But you -- it
25 wouldn't be commercially sensible to try to run a

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1 clock -- a chip faster than the maximum speed you had
2 tested it at.

3 BY MR. MARSH:

4 Q. And it likely wouldn't work, would it?

5 A. It likely would not work.

6 Q. Let's take a look on Page 58 of Exhibit 5 in
7 Paragraph 113. You say that, it's clear to a person of
8 ordinary skill in the art that the references to
9 operating voltage and operating parameters in the 336
10 claims refer to or include the supply voltage and do
11 not include the voltage of control signals within the
12 oscillator, right?

13 A. Yes.

14 Q. What's the basis of that statement?

15 A. It's the only meaning that's consistent with
16 the teachings of the '336 Patent. There are a number
17 of reasons.

18 One, even -- any embodiment of the 336 is
19 going to have tens of thousands, or in modern day,
20 billions of different voltages, and clearly they're not
21 all going to vary proportionally or in the same way.

22 When -- and the invention would not operate as
23 described, if it were referring to control voltages.
24 But perhaps the most practical issue is that the CPU
25 would essentially never have any connection or

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1 visibility to a control voltage within an oscillator,
2 so it couldn't vary in the same way or respond in any
3 way to that voltage.

4 The only voltage that's common between the CPU
5 and the oscillator is the supply voltage. So when the
6 patent talks about voltage, that has to be what it's
7 talking about.

8 Q. So in -- don't the inverters in a voltage
9 controlled oscillator receive both the supply and the
10 control voltages at the same node? Doesn't the control
11 voltage just buy us the supply voltage on the inverters
12 of the voltage controlled oscillator?

13 A. Not in any design that I'm familiar with, no.

14 Q. How do they work instead?

15 A. Well, there's lots of different kinds of
16 voltage controlled oscillators, so we'd have to look at
17 each one. But generally they're connected to separate
18 circuits.

19 The control voltage is used to control some
20 timing parameter, like the charge on a capacitor or the
21 current limit along some path. And the supply voltage
22 is used for other things, like the normal supply
23 voltage of other amplifiers or buffers. Buffers might
24 be inverters.

25 Q. So when we're talking about inverters, we're

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1 really talking about transistors, right?

2 A. Inverters are made of transistors. Some
3 simple ones are made of two transistors, but some
4 inverters are made of many transistors.

5 Q. And so the control voltage is applied where on
6 the transistor that makes up the inverter?

7 A. It depends what the design is. It may not be
8 supplied to the same -- I mean, it may be supplied to a
9 different transistor than the one that's in the
10 inverter.

11 But commonly a control voltage is applied to a
12 gate where a supply voltage is applied to a source.
13 But that's not always true. It would depend on the
14 particular design of the oscillator.

15 Q. Let's look on Page 59 in Paragraph 115 of
16 Exhibit 5. You say that LSI's witness explained that
17 the LSI chip in the Acer Accused Products provides a
18 constant frequency, right?

19 A. Well, a particular chip. I mean, I can tell
20 you what I actually said, is that LSI's witness
21 explained that LSI designed the B5503A PLLs to provide
22 a constant fixed frequency clock signal despite changes
23 to PVT.

24 Q. And are you aware that LSI's witness also
25 testified, despite attempts to provide a steady

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1 frequency, in practice the clock source was never ideal
2 and does change some?

3 A. I don't remember that exact testimony, but
4 that wouldn't surprise me. As I said, there is no such
5 thing as a perfect clock, and everything changes, at
6 least in a tiny amount, all the time.

7 Q. Did you review the LSI's witness's deposition?

8 A. Yes.

9 MR. MARSH: Let's mark Exhibit 11, which is
10 the deposition transcript of Joseph A. Casasanta, dated
11 February 20th of 2013.

12 (Deposition Exhibit No. 11 was marked.)

13 BY MR. MARSH:

14 Q. Have you reviewed -- this is the deposition
15 transcript that you reviewed before?

16 A. Yes.

17 Q. Why don't we take a look at Page 139 of the
18 transcript?

19 And do you see in Line 16, Mr. Casasanta was
20 asked, you said that by design, the PLL frequencies was
21 designed not to change, but in practice it's never
22 perfect, correct?

23 And in response, beginning on Line 19 of Page
24 139, he said, I testified that the clock source is
25 never ideal. It will have a source of offset

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1 characterized by jitter.

2 Do you see that?

3 A. Yes.

4 Q. And do you see, he was asked if jitter was
5 affected by PVT, and he said yes, it is?

6 A. That's true.

7 Q. Do you disagree with his testimony here?

8 A. No. But it doesn't say there's frequency
9 changes. It's jitter. It's the amount of error.

10 So what it means is that there is some
11 uncertainty or some error that is going to be affected
12 by PVT. So the higher temperature, the error in
13 individual clocks may vary by plus or minus a certain
14 amount, but it's still going to have the same
15 statistical properties. It's still going to have the
16 same mean frequency. So the frequency is not changed,
17 just the amount of noise, the amount of error that's
18 present.

19 Q. Are you aware that this same LSI witness,
20 Mr. Casasanta, testified that a ring oscillator inside
21 an on-chip PLL clocks the CPU of the chip that's in the
22 accused Acer products?

23 MR. WALKER: Object to form, assumes facts not
24 in evidence.

25 THE WITNESS: Can you point me to something?

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1 BY MR. MARSH:

2 Q. Yeah. Why don't we take a look at Page 27 of
3 Exhibit 11?

4 A. So he said there was a ring oscillator inside
5 the PLL, but my recollection is that he also testified
6 that he didn't know what the Court's construction of
7 the term "ring oscillator" was. So I assume he wasn't
8 applying the Court's claim construction.

9 Q. Okay. So there was a ring oscillator as
10 understood by someone skilled in the art here though,
11 right?

12 A. Separately from the way it's used in the
13 patent, not applying the claim construction? Yes,
14 there was something that he called a ring oscillator
15 that is present in one of -- in the LSI B5503A.

16 Q. And he testified that the PLL, which would
17 include that oscillator, was entirely on the chip,
18 right?

19 A. Yes, he did testify that the PLL was entirely
20 on the chip.

21 Q. And that PLL includes the ring oscillator that
22 he said is inside the PLL, right?

23 A. I would presume so in the way that he's using
24 the term "ring oscillator."

25 Q. And he also said that that PLL was the source

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1 testified that the CPU and the PLL are made from the
2 same process technology?

3 A. Where is that testimony?

4 Q. Well, let's take a look. It's on Page 133 of
5 the transcript. If you look beginning at Line 10
6 through 25.

7 A. Okay.

8 Q. Okay. So the LSI witness, Mr. Casasanta,
9 testified, didn't he, that the CPU and the PLL are made
10 from the same process technology?

11 A. He did.

12 Q. And so, because they're made from the same
13 process technology, they would similarly be affected by
14 process variations, right?

15 A. No. For a number of reasons, that's not true.

16 Q. Even though they're made from the same process
17 technology?

18 A. Well, first we have to make sure that his
19 understanding of made from the same process technology
20 is the same as ours.

21 Sometimes engineers will say something's made
22 from the same process technology when they go through
23 the same sequence in machines, not that the transistors
24 are actually made the same way. It's ambiguous, based
25 on his answers, as to which one he's saying.

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1 But even if he were saying the transistors
2 were made the same way, there are process variations at
3 different locations on a chip, on the same chip.
4 That's common. And the transistors may not be the same
5 size or shape, in which case, they would not respond to
6 the manufacturing variations in the same way.

7 Q. I want to take a look at Page 65 of your
8 rebuttal report, Exhibit 5.

9 A. Yes.

10 Q. And here you quote some excerpts from a
11 textbook that Dr. Oklobdzija cited, right?

12 A. Yes.

13 Q. And -- and these selections confirm that
14 variation and fabrication are process parameters that
15 impact the electrical performance of -- of
16 microprocessors, right? It's what they call physical
17 factors in this topmost excerpt on Page 65 of
18 Exhibit 5.

19 A. Yes. It says that they are random or
20 spatially varying. So they could be random on the chip
21 or spatially varying between components of the chip.
22 So it wouldn't mean that individual transistors would
23 vary together, so it does not support the claim
24 language. It just simply says that components can vary
25 due to manufacturing variations, which is certainly

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1 true.

2 But components on the same chip wouldn't
3 necessarily vary together, and circuits don't vary
4 together just because individual transistors vary
5 together, even if that were the case.

6 Q. This topmost selection also confirms that
7 operational parameters, such as temperature or voltage,
8 which are called environmental factors here, impact the
9 electrical performance of microprocessors too, right?

10 A. They can have an impact, but that impact may
11 not be predictable. And of course, if you look at this
12 carefully, it does say that they include variations in
13 power supply, switching activity and temperature of the
14 chip or across the chip.

15 Temperature differences across the chip would
16 mean that things don't vary in the same way, and
17 switching activity is localized and also would mean
18 that things don't vary in the same way.

19 Q. Let's turn to Page 69 of Exhibit 5. In this
20 Section, Section 8.1.5 of your rebuttal report, you say
21 that the Accused Products do not clock the CPU with an
22 entire oscillator disposed upon the integrated circuit
23 substrate, right?

24 A. Yes.

25 Q. But we just reviewed some deposition testimony

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1 from Mr. Casasanta that says that the PLL clocks the
2 ARM CPU and that that PLL is located entirely on the
3 chip, right?

4 MR. WALKER: Object that it misstates the
5 prior testimony.

6 BY MR. MARSH:

7 Q. That's what the testimony said, isn't it?

8 A. Well, but if we read the entirety of
9 Mr. Casasanta's testimony, he said that the PLL was not
10 the entire oscillator.

11 The entire oscillator is the circuit that
12 includes the crystal, the crystal oscillator, the PLL
13 and the clock generator circuit. All those things
14 together in sequence depend on each other to produce
15 the clock for the CPU, and that's not entirely on the
16 chip.

17 Q. But he did testify that the PLL itself was
18 entirely on the chip, right?

19 A. That was his testimony. I don't know for
20 certain exactly what he was including in that,
21 but -- but the crystal oscillator is the part of the
22 entire oscillator that's off-chip.

23 Q. And --

24 A. I'm sorry. The crystal, not the crystal
25 oscillator.

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1 THE VIDEOGRAPHER: This is the end of Volume 3
2 of the videotaped deposition of Mr. -- Dr. Andrew
3 Wolfe. We are off the record at 5:43 p.m. Thank you.

4 (Deposition proceedings concluded at
5 5:43 p.m.)

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JURAT

I, ANDREW WOLFE, PH.D., do hereby certify under penalty of perjury that I have read the foregoing transcript of my deposition taken on Friday, July 19, 2013, that I have made such corrections as appear noted herein in ink, initialed by me; that my testimony as contained herein, as corrected, is true and correct.

Dated this _____ day of _____, 2013, at _____, California.

ANDREW WOLFE, PH.D.

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CERTIFICATE OF REPORTER

I, Hanna Kim, a Certified Shorthand Reporter,
do hereby certify:

That prior to being examined, the witness in
the foregoing proceedings was by me duly sworn to
testify to the truth, the whole truth, and nothing but
the truth;

That said proceedings were taken before me at
the time and place therein set forth and were taken
down by me in shorthand and thereafter transcribed into
typewriting under my direction and supervision;

I further certify that I am neither counsel
for, nor related to, any party to said proceedings, not
in anywise interested in the outcome thereof.

In witness whereof, I have hereunto subscribed
my name.

Dated: ____ day of _____, 2013

Hanna Kim
CLR, CSR No. 13083

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1 ERRATA SHEET FOR THE TRANSCRIPT OF:

2 Case Name: Acer Inc., et al. versus TPL, et al.

3 Dep. Date: July 19, 2013

4 Deponent: Expert Deposition, Andrew Wolfe, Ph.D.

5 CORRECTIONS:

6	Pg.	Ln.	Now Reads	Should Read	Reason
7	_____	_____	_____	_____	_____
8	_____	_____	_____	_____	_____
9	_____	_____	_____	_____	_____
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Signature of Deponent

21 SUBSCRIBED AND SWORN BEFORE ME

22 THIS ____ DAY OF _____, 2013.

23

24 _____

25 (Notary Public) MY COMMISSION EXPIRES: _____

EXHIBIT J
To Omnibus
Declaration of Irvin E.
Tyan ISO Defendants’
Opposition to Acer
and HTC’s Motions
for Summary
Judgment

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

ACER, INC., ACER AMERICA CORPORATION, and GATEWAY, INC.,

Plaintiffs,

v.

TECHNOLOGY PROPERTIES LIMITED, PATRIOT SCIENTIFIC CORPORATION, and ALLIACENSE LIMITED,

Defendants.

Case No. 5:08-cv-00877 PSG

REBUTTAL EXPERT REPORT OF ANDREW WOLFE PH.D.

[RELATED CASES]

HTC CORPORATION, and HTC AMERICA, INC.,

Plaintiffs,

v.

TECHNOLOGY PROPERTIES LIMITED, PATRIOT SCIENTIFIC CORPORATION, and ALLIACENSE LIMITED,

Defendants.

Case No. 5:08-cv-00882 PSG

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licensed to Marvell. PIC00011863. Marvell makes dozens, perhaps hundreds, of different products. Dr. Oklobdzija has identified no evidence linking the ARM966E-S CPU to the Marvell 88i6745. Similarly, for the Realtek RTS5111, Dr. Oklobdzija cites no evidence that the identified PLL generates the clock signal received by the CPU. The CPU in this chip is an 8051 which traditionally operates at the 12MHz frequency of the crystal oscillator on the RTS5111. The PLL is most likely related to a different part of the chip. In any case, Dr. Oklobdzija has no evidence that these structures are connected as claimed. Similar deficiencies exist throughout Appendix H. Since Dr. Oklobdzija has not relied on these allegations (other than ACER-A-1 and ACER-A-2) in his current report (*See* Oklobdzija report at ¶¶173-176), I have not identified every deficiency in the other sections of Appendix H. I will do so if Dr. Oklobdzija provides additional opinions.

8.1.2. The accused "clock" or "oscillator" does not vary as that term is used in the '336 patent and understood by a PHOSITA.

80. Each of the asserted '336 claims requires a variable speed clock connected to a CPU. Claim 1 requires a "variable speed system clock ... connected to said central processing unit for clocking said central processing unit." Claims 6, 7, and 9 require "varying the processing frequency of said first plurality of electronic devices and the clock rate of said second plurality of electronic devices in the same way as a function of parameter variation in one or more fabrication or operational parameters associated with said integrated circuit substrate, thereby enabling said processing frequency to track said clock rate in response to said parameter variation." Claim 10 requires "an entire variable speed clock disposed upon said integrated circuit substrate." Claim 11 requires "an entire ring oscillator variable speed system clock in

said single integrated circuit and connected to said central processing unit for clocking said central processing unit.” Claims 13, 14, and 15 require “varying the processing frequency of said first plurality of electronic devices and the clock rate of said second plurality of electronic devices in the same way as a function of parameter variation in one or more fabrication or operational parameters associated with said integrated circuit substrate.” Claim 16 requires “an entire variable speed clock disposed upon said integrated circuit substrate.” For the reasons discussed below, the claim limitations that include these phrases are not present in any of the accused products. As such, it is my opinion that none of the accused products infringe any asserted ’336 claim.

81. In each of these cases, the claims require a clock that is variable speed as opposed to a fixed-speed clock. Claim 2 claims two distinct clocks, a “variable speed system clock” and a “fixed frequency clock.” These are clearly different structures from the perspective of the patent and a person of ordinary skill reading the patent would understand the references to “variable speed” and “fixed speed” to be intended to differentiate these clock types as distinct. From the perspective of this patent (and ordinary usage in the art at the time of the alleged invention), a clock cannot be both fixed-speed and variable speed. The patent is also clear that CPU 70 which is disclosed as operating at a variable speed is different from operating at a fixed speed. *See* ’336 at 17:32-34.

82. The ’336 patent clearly indicates that its references to a variable speed clock refer to a clock that provides a frequency that varies substantially, well in excess of any jitter or other

timing uncertainty. The only example provided in the patent varies by 100% (i.e. doubles in speed) between 70°C and room temperature.

83. “The ring oscillator frequency is determined by the parameters of temperature, voltage, and process. At room temperature, the frequency will be in the neighborhood of 100 MHZ. At 70 degrees Centigrade, the speed will be 50 MHZ. The ring oscillator 430 is useful as a system clock, with its stages 431 producing phase 0-phase 3 outputs 433 shown in FIG. 19, because its performance tracks the parameters which similarly affect all other transistors on the same silicon die. By deriving system timing from the ring oscillator 430, CPU 70 will always execute at the maximum frequency possible, but never too fast. For example, if the processing of a particular die is not good resulting in slow transistors, the latches and gates on the microprocessor 50 will operate slower than normal. Since the microprocessor 50 ring oscillator clock 430 is made from the same transistors on the same die as the latches and gates, it too will operate slower (oscillating at a lower frequency), providing compensation which allows the rest of the chip's logic to operate properly.” *See* ’336 at 16:59-17:10.

84. The ’336 patent also discusses the reason for using a variable-speed clock and the problem that it solves. The patent explains that:

“Traditional CPU designs are done so that with the worse case of the three parameters, the circuit will function at the rated clock speed. The result [sic] are designs that must be clocked a factor of two slower than their maximum theoretical performance, so they will operate properly in worse case conditions.” ’336 at 16:48-53.

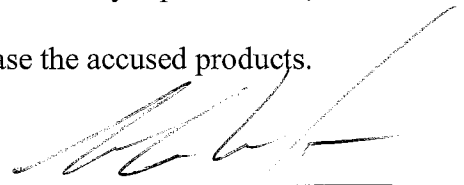
85. All of the accused products are designed to operate in exactly this “traditional” manner. They operate at the same fixed clock speed at room temperature and specified typical

voltages as they do under worst-case temperature and voltage conditions. They operate at the same fixed clock speed as long as the manufacturing process is within the specified acceptance range. The clock speed is no faster on a chip that happens to be manufactured with fast transistors than a chip which happens to be manufactured with slow transistors. In general, all of the accused products are clocked “a factor of two slower than their maximum theoretical performance, so they will operate properly in worse case conditions.” The problem described in the '336 patent is still present since the accused products all still use the prior art fixed-speed clocking technique.

86. No real-world signal in a real-world system is ever perfect. This is a fundamental physical phenomenon. Despite this reality, engineers commonly specify fixed values for signals and components and treat those values as fixed for all practical purposes. A specific model of a ruler is 12 inches long. In the real world, every one of those rulers that is produced may differ in length by a small fraction of a percent. The length of that ruler will change due to temperature and perhaps humidity due to the expansion or contraction of the wood or metal that it is made from. The ruler will flex slightly due to minute vibrations that are present everywhere and thus the length of the ruler will slightly change. The length may change slightly due to stresses within the material. Despite these imperfections, engineers who design rules and users who use them consider them to be of fixed length and rely on them as accurate enough for the purpose for which they were intended. An engineer may specify one or more tolerances to characterize the likely variation in length between units or over time. A user would obtain a ruler that is accurate enough for the suited purpose. A draftsman may need a more precise ruler than a kindergartener, but both would consider a suitable ruler to have a fixed length in the context in which it is

availability. In particular, potential customers for the accused products are not aware of the brand of hard disk or the controller chips used on the hard disk. The purported technology is, at best, a commodity-type feature. The asserted patents have no impact on buyer preferences, and certainly would not be the sole motivation for consumers to purchase the accused products.

Dated: July 2, 2013



Andrew Wolfe Ph.D.

EXHIBIT K
To Omnibus
Declaration of Irvin E.
Tyan ISO Defendants’
Opposition to Acer
and HTC’s Motions
for Summary
Judgment

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UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

HTC CORPORATION, et al.,)	
Plaintiffs,)	
vs.)	CASE NO. 5:08-CV-882
TECHNOLOGY PROPERTIES)	CONFIDENTIAL
LIMITED, et al.,)	OUTSIDE ATTORNEYS'
Defendants.)	EYES ONLY
<hr/>		
ACER, INC., et al.,)	
Plaintiffs,)	
vs.)	CASE NO. 5:08-CV-877
TECHNOLOGY PROPERTIES)	CONFIDENTIAL
LIMITED, et al.,)	OUTSIDE ATTORNEYS'
Defendants.)	EYES ONLY
<hr/>		

UNITED STATES INTERNATIONAL TRADE COMMISSION
WASHINGTON, D.C.

In the Matter of)	Investigation No.
)	337-TA-853
CERTAIN WIRELESS CONSUMER)	CONFIDENTIAL BUSINESS
ELECTRONICS DEVICES AND)	INFORMATION
COMPONENTS THEREOF)	QUALCOMM OUTSIDE ATTORNEYS'
)	EYES ONLY
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CONFIDENTIAL OUTSIDE ATTORNEYS'S EYES ONLY		

CONFIDENTIAL BUSINESS INFORMATION
QUALCOMM OUTSIDE ATTORNEYS' EYES ONLY

VIDEOTAPED DEPOSITION OF SINA DENA
SAN DIEGO, CALIFORNIA
THURSDAY, FEBRUARY 7, 2013

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UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

HTC CORPORATION, et al.,)
Plaintiffs,)
vs.) CASE NO. 5:08-CV-882
TECHNOLOGY PROPERTIES) CONFIDENTIAL
LIMITED, et al.,) OUTSIDE ATTORNEYS'
Defendants.) EYES ONLY

ACER, INC., et al.,)
Plaintiffs,)
vs.) CASE NO. 5:08-CV-877
TECHNOLOGY PROPERTIES) CONFIDENTIAL
LIMITED, et al.,) OUTSIDE ATTORNEYS'
Defendants.) EYES ONLY

UNITED STATES INTERNATIONAL TRADE COMMISSION
WASHINGTON, D.C.

In the Matter of) Investigation No.
) 337-TA-853
CERTAIN WIRELESS CONSUMER) CONFIDENTIAL BUSINESS
ELECTRONICS DEVICES AND) INFORMATION
COMPONENTS THEREOF) QUALCOMM OUTSIDE ATTORNEYS'
) EYES ONLY

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QUALCOMM OUTSIDE ATTORNEYS' EYES ONLY

VIDEOTAPED DEPOSITION OF SINA DENA
SAN DIEGO, CALIFORNIA
THURSDAY, FEBRUARY 7, 2013

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Page 3

1 Videotaped deposition of SINA DENA, taken
2 on behalf of Defendants, at 4401 Eastgate
3 Mall, San Diego, California 92121-1909,
4 commencing at 9:46 a.m., Thursday,
5 February 7, 2013, before Dorien Saito,
6 CSR 12568, CLR.

7

8

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1 A P P E A R A N C E S : (Continued)

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Page 5

1 A P P E A R A N C E S : (Continued)

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24

DANIEL BERMUDEZ, Videographer

25

ROBERT GILES, Qualcomm, Senior Legal Counsel

1 A. Still after reading this, I still don't
2 know if there's a ring oscillator in here or not.

3 Q. Do you see the mention of ring oscillators
4 in the third line from the top down?

5 MR. CHEN: The document speaks for itself.

6 THE WITNESS: Are we talking on the same
7 page?

8 BY MR. PHAM:

9 Q. On page 13.

10 A. On page 13.

11 MR. DAMSTEDT: He's talking about this
12 line.

13 THE WITNESS: Oh. The three-stage current
14 control ring oscillators. Okay. I saw it.

15 BY MR. PHAM:

16 Q. Are there ring oscillators in the PLL?

17 MR. CHU: The same objections.

18 MR. MEHTA: Also scope and it calls for
19 speculation.

20 THE WITNESS: This document mentions ring
21 oscillators. This document is the data sheet. If
22 the data sheet is corresponding correctly to the
23 actual design, then yes.

24 ///
25

25 BY MR. PHAM:

1 Q. Do you need to look at these data sheets in
2 order to tell whether there are ring oscillators in
3 the PLL?

4 MR. MEHTA: The same objections.

5 THE WITNESS: I would rely on talking -- if
6 I wanted to find out something, I would rely on --
7 not documents, but the designer.

8 Unfortunately, for -- my use of these data
9 cases are mostly with the external interfaces of
10 this clock, not really what's inside of it, so -- so
11 that I know how to hook up the signals to it and how
12 to use it. What's inside, I don't really pay
13 attention.

14 If the document says it's there, if the
15 document is accurate, then it's there.

16 BY MR. PHAM:

17 Q. Who would pay attention to the inside of
18 the PLL at Qualcomm?

19 A. Designers and people who have to do the
20 characterization of the PLL when the silicone
21 arrives because these are new designs. Every time a
22 new design goes on the silicone, it has to be
23 characterized, the cross-process, variation,
24 corners, and all of that.

25 That is where a lot of the scrutiny goes on

1 in all the inside elements, whether their act was
2 temperature, voltage, process. This clock performs
3 based on this spec that was defined.

4 THE VIDEOGRAPHER: Okay. Bad telephone
5 interference.

6 Anybody have their phone in their pocket?

7 That's for you or maybe just put your
8 phones on the table. You don't have to necessarily
9 turn them off, but you can put them on the table.
10 Just put it on the table, Counsel, you're going to
11 turn it off. I mean, if you're going to turn it off
12 in your pocket you can turn it on.

13 BY MR. PHAM:

14 Q. Have you talked to any designers?

15 A. Quite frequently during the course of
16 project development, I have to talk with PLL
17 designers.

18 Q. Did you discuss with them about what's
19 inside a PLL?

20 MR. CHU: Objection; vague as to which PLL.

21 MR. DAMSTEDT: Vague and ambiguous as to
22 which time you're talking about.

23 Are you talking about during his job or
24 during preparation for the deposition?

25 MR. PHAM: During his job.

1 THE WITNESS: Yes. The type -- the type of
2 questions we -- I ask them is not what's inside.

3 A lot of times the data sheets are
4 outdated, so it's -- talk about -- is the frequency
5 range, this is just what I'm thinking, between
6 whatever, 300 to 1.5 speed? Is it for the speeds
7 that I'm using? Am I using the correct settings of
8 the PLL?

9 So, you know, I don't -- I don't attend
10 design reviews or technical design reviews that they
11 do. They have -- again, it's a separate team.

12 My questions usually and most -- most of
13 the time is to call them directly and ask about the
14 stuff I need, which is range and, you know,
15 settings. "Well, I have a data converter. Should I
16 use this PLL for it or the other one as a" -- you
17 know.

18 So if -- to give you an idea, I'm supplying
19 clocks across the board in the chip, which has
20 completely different functional clocks. And some of
21 these PLLs are high performance, some of them are
22 low power.

23 So my thought is just to do due diligence
24 to make sure I have the right selection for the
25 particular application in the chip. That's the type

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1 of communication I do with them, and it's quite
2 often during the course of development of the
3 project.

4 BY MR. PHAM:

5 Q. So if you want to know what's inside a PLL,
6 you still have to talk to the designers?

7 A. Yes. But I really do not have time or
8 bandwidth to -- to get inside this. They're --
9 again, I'm the user. I'm not interested in what's
10 inside that circuit.

11 There are a lot of analog macros that are
12 used in the clock control, so -- I wouldn't -- I'm
13 not an expert in or interested to know what's inside
14 each one of them, simply because of the schedules
15 and pressure.

16 Q. If designers are not available to answer
17 questions about inside of a PLL --

18 A. Uh-huh.

19 Q. -- would you refer to these documents?

20 A. I refer --

21 MR. CHU: Objection; it calls for
22 speculation.

23 THE WITNESS: I refer to documents. But I
24 ping and ping until I find one of them.

25 Again, the document might not necessarily

1 tell the whole story, and I cannot rely on -- I
2 would rather rely on people I've worked with over
3 time we have developed a relationship and get the
4 data directly from -- from them.

5 BY MR. PHAM:

6 Q. Why do -- why does Qualcomm create these
7 PLL data sheets?

8 A. To provide technical information about the
9 PLLs.

10 Q. To who?

11 A. To internal and external customers. I'm an
12 internal customer.

13 Q. The external customer, they don't have the
14 access to the designers as you do, do they?

15 A. They do not have to do what I have to do.
16 I have to ensure what goes on the silicone, on the
17 integrated circuit is the correct selection.

18 By the time customers get the whole
19 integrated circuit, that selection has already been
20 made for them by someone like me. So they do not
21 have to make a selection. All they need to do is
22 program it.

23 And for the most part, we provide, you
24 know, the specific settings of the PLL to customers.
25 I mean, we provide it in the form of software that

1 we deliver with the chip, at least in new era, in
2 the past three or four years. I do not know what it
3 was ten years ago.

4 Q. Does customers -- do customers rely on the
5 PLL data sheet for anything?

6 MR. WALKER: Objection.

7 MR. CHU: Objection; vague, it calls for
8 speculation, lack of foundation.

9 THE WITNESS: I can only talk about past
10 four or five years and my experiences. So there is
11 a clock software team which does PLL programming,
12 clock settings, et cetera.

13 That software is the one that gets
14 transferred to our customers as a platform -- as a
15 base platform. They could modify things, but
16 normally they would not modify clock settings.

17 BY MR. PHAM:

18 Q. If there's an error in the PLL data sheet,
19 would Qualcomm correct those errors?

20 A. Absolutely. And it has -- PLLs are
21 sensitive circuits and from time to time errors
22 happen. Data sheets gets revved up all the time.

23 And, you know, we get production devices or
24 silicone and cross corners. You know, process has
25 corners. Not all physical chips are identical in

1 one particular process for the same chip.

2 So one of the activities that we do within
3 Qualcomm is to try to look across the process
4 variation for the same particular chip, same
5 particular PLLs, and ensure that on every corner
6 this PLL hits the target that it's supposed to hit
7 for that particular product.

8 So then in the course of that activity, we
9 come across a situation, you know, that need
10 technical modification. Sometimes even revving up
11 the chip, changing hardware.

12 BY MR. PHAM:

13 Q. What do you mean by revving the chip?

14 A. Revving the chip meaning making design
15 changes in the chip and sending it to production
16 again.

17 Q. Let me refer you back to page 15 of --
18 page 15 of the MSM8x55 user guide --

19 A. Yes.

20 Q. -- which is Exhibit 7.

21 (Witness complies.)

22 BY MR. PHAM:

23 Q. Are there on chip input/output interfaces?

24 MR. CHU: Objection; it calls for legal
25 conclusion, vague, and it lacks foundation.

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Page 192

1 concludes today's deposition of Sina Dena. We're
2 off the record at 5:22 p.m.

3 (The deposition proceedings were
4 concluded at 5:22 p.m.)

5 -0o0-

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1 STATE OF CALIFORNIA)
2) ss.
3 COUNTY OF LOS ANGELES)
4

5 I, SINA DENA, having appeared for my deposition
6 on February 7, 2013, do this date state that I have
7 read the foregoing deposition and that I have made
8 any corrections, additions, or deletions that I was
9 desirous of making in order to render the within
10 transcript true and correct.

11 IN WITNESS WHEREOF, I have hereunto subscribed
12 my name this day of , 2013.

13

14

15

SINA DENA

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DEPONENT'S CHANGES OR CORRECTIONS

Note: If you are adding to your testimony, print the exact words you want to add. If you are deleting from your testimony, print the exact words you want to delete. Specify with "add" or "delete" and sign this form.

DEPOSITION OF: SINA DENA
CASE: HTC CORPORATION, ET AL. V.
TECHNOLOGY PROPERTIES LIMITED,
ET AL., AND RELATED CASES
DATE OF DEPOSITION: FEBRUARY 7, 2013

PAGE	LINE	CHANGE/ADD/DELETE

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1	Deponent's Signature		Date
2	PAGE	LINE	CHANGE/ADD/DELETE
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1 Deponent's Signature Date

2 STATE OF CALIFORNIA)

3) ss.

4 COUNTY OF LOS ANGELES)

5

6 I, DORIEN SAITO, CSR 12568, CLR, a Certified
7 Shorthand Reporter in and for the State of
8 California, County of Los Angeles, do hereby
9 certify;

10 That SINA DENA, the witness named in the
11 foregoing deposition, was, before the commencement
12 of the deposition, duly administered an oath in
13 accordance with CCP 2094;

14 That said deposition was taken down in
15 stenograph writing by me and thereafter transcribed
16 into typewriting under my direction.

17 I further certify that I am neither counsel
18 for nor related to any party to said action, nor in
19 any way interested in the outcome thereof.

20

21 Dated this 20th day of February, 2013.

22

23

24

CERTIFIED SHORTHAND REPORTER

25

IN AND FOR THE COUNTY OF

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1 LOS ANGELES, STATE OF CALIFORNIA

2 STATE OF CALIFORNIA)

3) ss.

4 COUNTY OF LOS ANGELES)

5

6

7

8

9 I, DORIEN SAITO, CSR 12568, CLR, a Certified
10 Shorthand Reporter, hereby certify that the attached
11 transcript is a correct copy of the original
12 transcript of the testimony of SINA DENA, taken
13 before me on the 7th day of February, 2013, as
14 thereon stated.

15 I declare under penalty of perjury that the
16 foregoing is true and correct.

17 Executed at Los Angeles, California, this
18 20th day of February, 2013.

19

20

21

22 CERTIFIED SHORTHAND REPORTER

23 IN AND FOR THE COUNTY OF

24 LOS ANGELES, STATE OF CALIFORNIA

25

EXHIBIT L
To Omnibus
Declaration of Irvin E.
Tyan ISO Defendants’
Opposition to Acer
and HTC’s Motions
for Summary
Judgment

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1 UNITED STATES DISTRICT COURT
2 NORTHERN DISTRICT OF CALIFORNIA, SAN JOSE DIVISION
3 -----
4 HTC CORPORATION and HTC)
5 AMERICA, INC., et al.,)
6 Plaintiffs,)
7 vs.) No. 3:08-cv-00882PSG
8 TECHNOLOGY PROPERTIES LIMITED,))
9 PATRIOT SCIENTIFIC)
10 CORPORATION and ALLIACENSE)
11 LIMITED,)
12 Defendants.)
13 -----)

14
15 ATTORNEYS' EYES ONLY
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18
19 VIDEOTAPED DEPOSITION OF THOMAS A. GAFFORD

20
21 Monday, July 8, 2013
22 Palo Alto, California

23
24 Reported by:
25 Hanna Kim, CLR, CSR No. 13083

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Monday, July 8, 2013

9:37 a.m. - 6:57 p.m.

VIDEOTAPED DEPOSITION OF THOMAS A. GAFFORD,
taken on behalf of Technology Properties Limited, on
Monday, July 8, 2013, beginning at 9:37 a.m. and ending
at 6:57 p.m. at Cooley LLP, 3175 Hanover Street,
Palo Alto, California 94304, before Hanna Kim, CLR, CSR
No. 13083.

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SEAN GRANT, Videographer

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INDEX OF EXAMINATION

WITNESS: THOMAS A. GAFFORD

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BY MR. CHEN:	180

1 crystal to vary to which it's clocked.

2 Q. That variation needs to be multiplied by 32 to
3 get variation of the actual frequency output of the
4 PLL, right?

5 MR. CHEN: Vague.

6 THE WITNESS: The -- not -- again, if you're
7 measuring variation in parts per million, it wouldn't
8 matter where you measure it or how you divide it. The
9 ratio in parts per million is the same.

10 BY MR. PHAM:

11 Q. What is the degree of the variation of the
12 M clock according to temperature?

13 A. As it states here, plus or minus 4.3 parts per
14 million over the temperature range.

15 Q. Within the PLL, there's an oscillator, right?

16 A. Yes.

17 Q. But you could not have measured the frequency
18 output of the oscillator itself?

19 A. Directly, the oscillator as oscillating at 768
20 megahertz? No. As I said, I don't believe that signal
21 is supplied to any pin coming out of the -- any pin
22 provided on the chip. I have instead measured its --
23 measured something that is 1/32 of that
24 frequency -- I'm sorry. One -- yes, 1/32 to that
25 frequency.

1 Q. The frequency output of the oscillator within
2 the PLL varies with temperature, right?

3 A. Yes. It varies exactly in accordance with the
4 variance of the reference frequency, as I've shown in
5 the later figure here.

6 Q. You need the PLL to compare the output of the
7 oscillator with the reference frequency in order to
8 adjust the frequency output of the oscillator?

9 A. Well, part of what the phase lock loop does is
10 it has a phase frequency detector that compares the
11 frequency of the oscillator that -- of the controlled
12 oscillator with the frequency of the reference
13 oscillator.

14 Q. And the purpose of the comparison is to adjust
15 the frequency of the control oscillator?

16 A. Actually, it's more like adjust the -- adjust
17 the parameters of the -- of the controlled oscillator
18 so that it doesn't vary in frequency, would be a better
19 way to say it.

20 Q. Without that adjustment, the oscillator
21 frequency will continue to depart from the reference
22 frequency?

23 A. I don't know what it will do, because all I've
24 ever looked at is working PLLs where the adjustment's
25 always made.

1 Q. And the reason for the adjustment is because
2 the frequency of the oscillator varies with
3 temperature?

4 A. It is --

5 MR. CHEN: Vague.

6 THE WITNESS: It's more -- it's -- that's not
7 quite a correct statement. The characteristics of the
8 transistors in the oscillator vary with temperature.
9 And in order to provide a constant frequency for
10 clocking the processor, or at least as constant as a
11 crystal can make it, the PLL develops a controlled
12 voltage to prevent variation to keep it locked to the
13 crystal.

14 BY MR. PHAM:

15 Q. If the PLL does not keep it locked, then it
16 would vary?

17 A. If the PLL does not keep it locked, I don't
18 think you have a working phone.

19 Q. But because the frequency oscillator -- strike
20 that.

21 Because the frequency of the oscillator will
22 vary widely, right?

23 A. The word "vary" -- if -- if there were no PLL,
24 if the clock generator was -- if -- if the -- hmm.

25 If you didn't operate the control voltage the

1 way it's operated in the accused devices, then you
2 would no longer be -- you would no longer be immune to
3 variations in temperature processor voltage.

4 Q. Let me direct you to Figure 10 on page 72.
5 You see the ICL block in that figure?

6 A. I see a block that doesn't have that label,
7 but it has three sine waves in it. Is that the one you
8 mean?

9 Q. Yeah.

10 Is there a current input into that block?

11 A. Yes.

12 Q. Is the current input used to control the block
13 in a way that the frequency output of the block will
14 not vary too much?

15 A. Well, precisely so that it won't vary any more
16 than the reference frequency varies, which isn't much.
17 The reference frequency varies in accordance to what
18 you'd expect from a very good crystal.

19 Q. You do that by adjusting the current input
20 into the ICL block?

21 MR. CHEN: Vague.

22 THE WITNESS: Yes, that -- the current input
23 that comes from either -- any one of these three
24 V2I blocks goes into the ICL block to a selected one of
25 these. I believe this is attempt -- I believe this is

1 showing three different oscillators, and to whichever
2 one is operating, it controls the gain and thus
3 speed -- gain -- it controls the characteristics of the
4 transistors in the oscillator so that the output
5 frequency remains constant.

6 BY MR. PHAM:

7 Q. If you keep the current input into the ICL
8 block constant, would the frequency output of the ICL
9 block vary more with PVT?

10 MR. CHEN: Vague.

11 THE WITNESS: More than what?

12 BY MR. PHAM:

13 Q. More than in a normal case where the current
14 input is used to control the ICL block.

15 A. You mean in a case of how the chips are
16 designed and shipped by the -- by HTC?

17 Q. Yes.

18 A. Probably. How much, I don't know, but stands
19 to reason if you stop correcting the thing that's
20 supposed to be corrected, that there will be some
21 variance due to PVT.

22 Q. During normal operation, the processing
23 frequency of CPU varies accordingly with the output
24 frequency of the oscillator that clocks it, right?

25 A. I have no idea what you're talking about. Are

1 you talking about the Accused Devices?

2 Q. Yes.

3 A. And what do you mean by processing frequency?
4 I understand the phrase "current processing frequency
5 capability," but I'm not certain I understand what you
6 mean by processing frequency.

7 Q. You understand the phrase "processing
8 frequency capability" and the phrase "actual processing
9 frequency of a CPU"?

10 A. If by processing frequency -- if we're talking
11 about claim terms now, perhaps I should have the patent
12 in front of me. I do have the patent. Oh, good.

13 Why don't you point me to the claim term
14 you're talking about. And if it's not a claim term,
15 point me to something.

16 Q. Let's look at Claim 6. You see the phrase
17 "central processing unit operating at a processing
18 frequency"?

19 A. Yes. Whatever its -- whatever rate it is
20 being driven by its clock is the processing frequency
21 in this phrase.

22 Q. Does that processing frequency vary according
23 to the frequency of the clock?

24 A. It is exactly the frequency of the clock. It
25 has -- that's what processing frequency means. And the

1 clock in normal operation in Accused Devices doesn't
2 vary.

3 Q. Is the variation in frequency output of an
4 oscillator the same as jitter?

5 MR. CHEN: Vague.

6 THE WITNESS: Jitter and frequency variation
7 are not the same thing. You can express the effect of
8 jitter in the frequency domain, but jitter is an error
9 or is a variation in, let's say, the location of a
10 rising or falling edge in a signal, and you can have a
11 variation in a rising or falling edge of a signal and
12 have no variation in its period, conceivably. So you
13 have jitter without frequency variation.

14 BY MR. PHAM:

15 Q. Does jitter decrease as temperature increases?

16 A. Jitter comes -- can come from a lot of
17 sources. I think it's a very complex relationship.
18 One source of jitter that I'm aware of is thermal
19 noise, and thermal noise increases with temperature.
20 So if you have a circuit whose output is jittering
21 because of thermal noise, then that jitter might
22 decrease with a -- with an increase in temperature,
23 just a -- just as a theoretical circuit design matter.

24 Q. Is manufacturing variation permanent?

25 A. Permanent?

1 Q. Yes.

2 MR. CHEN: Vague.

3 THE WITNESS: Permanent over what? Permanent
4 in response to what?

5 BY MR. PHAM:

6 Q. It is satisfactory by.

7 A. Well, you build what you build and you ship
8 what you build. The -- I suppose in that sense,
9 process variation stops when the process stops, is
10 probably the simplest way to look at it.

11 Q. Does process variation affect processing
12 frequency of a CPU?

13 MR. CHEN: Vague.

14 THE WITNESS: Not in the sense that we've just
15 described it, where the processing frequency is the
16 frequency at which the CPU is being clocked. And in
17 the Accused Devices, that frequency doesn't vary. So
18 the effect of processing variations will have no impact
19 on the frequency at which the CPU is being clocked.

20 BY MR. PHAM:

21 Q. Because PLL controls the frequency?

22 A. Yes, because processing frequency is the
23 frequency at which it is processing at the moment,
24 driven by the PLL, yes.

25 Q. Does manufacturing variation affect the

1 processing frequency capability of a CPU?

2 A. It can.

3 Q. And that's why you have a binning step in
4 manufacturing chips, right?

5 MR. CHEN: Vague.

6 THE WITNESS: To the -- I don't know exactly
7 what you mean by binning, but I'll tell you the -- the
8 binning that I understand. To the extent that you have
9 a silicon component that is rated to a certain
10 capability, and from the same process other components
11 are rated differently, that's binning. That tells you
12 that the maximum capability of the products of a
13 particular manufacturing process vary, and we charge
14 more for the good ones and less for the bad ones, or
15 the slower ones in that case. But all that affects
16 is -- is the fastest -- and typically, binning and
17 things like -- well, thinking of old memory chips, for
18 example. But binning is typically a difference in
19 maximum performance.

20 BY MR. PHAM:

21 Q. And the differences in maximum performance is
22 due to process variation?

23 A. That's what we've been talking about. It can
24 be due to process variations.

25 Q. Is that the case in chips that are in the

1 HTC's Accused Products?

2 A. I don't believe so, because my understanding
3 of the chips in all the HTC Accused Products is that
4 for a particular product, all the phones have the
5 same -- all the devices have the same performance
6 specification. So whether there is any binning going
7 on in the selecting of the system shifts for those or
8 not doesn't matter because they're all clocked at the
9 same rate.

10 So the whole point is -- of -- of this design
11 is, you provide a clock signal -- clock frequency to
12 the CPU that all CPUs that you will ever assemble in
13 that unit can execute without error over the specified
14 range of environmental conditions.

15 Q. Do all the chips in the HTC Accused Products
16 have the same processing frequency capability?

17 A. I don't know. They are not ever clocked in
18 use. They are never clocked as a shipped product at
19 their -- at their capability. That would be -- at
20 least not the way HTC ships them.

21 Q. So you don't know the processing frequency
22 capability of the chips in HTC Accused Products?

23 A. I -- pardon me.

24 I know that it is sufficient to meet the
25 specification of the product under worse-case

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CERTIFICATE OF REPORTER

I, Hanna Kim, a Certified Shorthand Reporter,
do hereby certify:

That prior to being examined, the witness in
the foregoing proceedings was by me duly sworn to
testify to the truth, the whole truth, and nothing but
the truth;

That said proceedings were taken before me at
the time and place therein set forth and were taken
down by me in shorthand and thereafter transcribed into
typewriting under my direction and supervision;

I further certify that I am neither counsel
for, nor related to, any party to said proceedings, not
in anywise interested in the outcome thereof.

In witness whereof, I have hereunto subscribed
my name.

Dated: ____ day of _____, 2013

Hanna Kim

CLR, CSR No. 13083

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1 ERRATA SHEET FOR THE TRANSCRIPT OF:

2 Case Name: HTC, et al. vs. TPL, et al.

3 Dep. Date: July 8, 2013

4 Deponent: Expert Deposition, Thomas A. Gafford

5 CORRECTIONS:

6	Pg.	Ln.	Now Reads	Should Read	Reason
7	---	---	-----	-----	-----
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16	---	---	-----	-----	-----
17	---	---	-----	-----	-----

18

19

Signature of Deponent

20
21 SUBSCRIBED AND SWORN BEFORE ME

22 THIS ___ DAY OF _____, 2013.

23

24

25 (Notary Public) MY COMMISSION EXPIRES: _____

EXHIBIT R
To Omnibus
Declaration of Irvin E.
Tyan ISO Defendants’
Opposition to Acer
and HTC’s Motions
for Summary
Judgment

In The Matter Of:

ACER, INC., et al.

v.

TECHNOLOGY PROPERTIES LTD., et al.

HTC CORPORATION, et al.

v.

TECHNOLOGY PROPERTIES LTD., et al.

VOJIN OKLOBDZIJA - Vol. 2

July 15, 2013

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VOJIN OKLOBDZIJA - 7/15/2013

Page 198

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

ACER, INC., ACER AMERICA
CORPORATION, and GATEWAY, INC.,

Plaintiffs,

-vs-

No. 5:08-cv-00877 PSG

TECHNOLOGY PROPERTIES LTD.,
PATRIOT SCIENTIFIC CORPORATION
& ALLIACENSE LIMITED,

Defendants.

/

HTC CORPORATION and HTC
AMERICA, INC.,

Plaintiffs,

-vs-

No. 5:08-cv-0882 PSG

TECHNOLOGY PROPERTIES LTD.,
PATRIOT SCIENTIFIC CORPORATION
& ALLIACENSE LIMITED,

Defendants.

/

VIDEOTAPED DEPOSITION OF

VOJIN OKLOBDZIJA

July 15, 2013

Volume II, Pages 198 - 431

HIGHLY CONFIDENTIAL - PURSUANT TO PROTECTIVE ORDER

Reported by: WENDY E. ARLEN, CSR #4355, RMR, CRR

Job No: 2001-452355

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Deposition of VOJIN OKLOBDZIJA, taken by the
3 plaintiffs, at AGILITY IP LAW, LLP, 149 Commonwealth
4 Drive, Menlo Park, California, commencing at 9:06
5 a.m., Monday, July 15, 2013 before me, WENDY E.
6 ARLEN, CSR, RMR, CRR.

7

A P P E A R A N C E S

8

9

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Jefree Anderson, Videographer

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1 Menlo Park, California

2 Monday, July 15, 2013

3 9:06 a.m.

08:10:38

4 VIDEOGRAPHER: Here begins Volume II,

09:04:29

5 videotape number one in the deposition of Dr. Vojin

09:05:04

6 Oklobdzija in the matter of Acer, Incorporated, et

09:05:08

7 al., versus Technology Properties Ltd., et al., case

09:05:14

8 number 5:08-cv-00877, and HTC Corp, et al., versus

09:05:19

9 Technology Properties Ltd., et al., case number

09:05:27

10 5:08-cv-00882 in United States District Court,

09:05:31

11 Northern District of California, San Jose Division.

09:05:37

12 Today's date is July 15th, 2013. The time on

09:05:38

13 the video monitor is 9:06 a.m. The video operator

09:05:46

14 today is Jefree Anderson, a notary public contracted

09:05:50

15 by Merrill Court Reporting, San Francisco,

09:05:54

16 California. This video deposition is taking place at

09:05:56

17 Agility IP Law in Menlo Park, California.

09:06:00

18 And I believe all the parties that were here

09:06:05

19 last session are here today. Is there anyone new?

09:06:07

20 And the witness has been sworn in. So please

09:06:12

21 begin.

09:06:18

22 EXAMINATION BY MR. WALKER

09:06:19

23 Q. Good morning, Dr. Oklobdzija.

09:06:20

24 A. Good morning.

09:06:22

25 Q. You mentioned that the -- at least some of

09:06:23

1 oscillator. Maybe there is some idiosynchronous like 09:42:06
2 Talbot that is not which is used in computer long 09:42:15
3 time ago, but as we have seen from the literature and 09:42:19
4 from the analysis of all the data available, they 09:42:22
5 are -- invariably they're all ring oscillator. And 09:42:26
6 ring oscillator by nature would vary with the 09:42:30
7 environmental operational parameters. So they 09:42:34
8 contain something that is variable. 09:42:39

9 A PLL is using that variability basically to 09:42:45
10 its own advantage when it is running faster than the 09:42:47
11 reference, tries to slow it down. When it is running 09:42:51
12 slower, tries to speed it up. So it has a 09:42:56
13 variable -- variable speed clock. 09:43:00

14 Q. So in your infringement analysis, the 09:43:01
15 variable speed clock is actually the ring oscillator 09:43:05
16 within the PLL; is that correct? 09:43:09

17 A. Okay. In my infringement analysis, the 09:43:10
18 variable speed clock is the ring oscillator within 09:43:15
19 the PLL, correct. 09:43:19

20 Q. Is the output of the PLL variable speed 09:43:20
21 frequency? 09:43:34

22 A. The output of a PLL -- we have been debating 09:43:34
23 that. The output of the PLL is, depending on your 09:43:42
24 magnifying glass, is -- is not fixed. The output of 09:43:48
25 PLL varies. 09:43:52

1 Q. Then how do you know that there is any 10:01:02
2 variation of the PLL output as a function of 10:01:12
3 temperature? 10:01:18

4 A. Because all the PLL's are pretty much made a 10:01:18
5 similar way and behave in -- in a known similar ways, 10:01:33
6 and I think going from one design to another design 10:01:40
7 you will find maybe differences to the degree to 10:01:44
8 which varies, but they all are affected by voltage 10:01:47
9 and temperature. 10:01:53

10 Q. For any of the Acer accused products, did you 10:01:54
11 see a specification for a PLL that describes the PLL 10:02:03
12 output as varying with temperature? 10:02:09

13 A. As I said, I think we had the very little 10:02:12
14 specification of Acer products. I mean, what I had 10:02:23
15 is basically what you can find on the Web, and they 10:02:25
16 don't talk about that. 10:02:29

17 Q. Do you have any -- did you see any 10:02:30
18 specification for any of the accused Acer products 10:02:37
19 that describes a variation in the PLL output as a 10:02:41
20 function of operating voltage? 10:02:46

21 A. I don't think they were available. So I 10:02:49
22 haven't. 10:02:53

23 Q. Does your infringement analysis depend in any 10:02:54
24 way on how a PLL output varies with operating 10:03:11
25 voltage? 10:03:20

1 A. To some degree, yes. To some degree. 10:03:20

2 Q. Explain how, to what degree. 10:03:27

3 A. Well, if you look at the structure of a PLL 10:03:29

4 and if the operating voltage raises, the VCO or ICO 10:03:35

5 within the PLL will -- the frequency of VCO or ICO 10:03:42

6 within the PLL will increase. 10:03:48

7 Now, as I think I testified before and I 10:03:50

8 think I have also seen in your experts' reports that 10:03:53

9 the PLL has what is called the dead band. There is a 10:03:58

10 range of frequency around the center frequency where 10:04:04

11 PLL is not able to -- to correct the variations. So 10:04:09

12 basically it is out of luck. 10:04:14

13 So in that range if the voltage raises, the 10:04:18

14 VCO would naturally increase the frequency. So my 10:04:24

15 analysis based on the structure of the PLL, as I 10:04:29

16 said, in the absence of any specific document 10:04:36

17 describing that PLL that was used in that LSI 10:04:38

18 product. 10:04:43

19 Q. Do all PLL's vary their frequency output as a 10:04:46

20 function of operating voltage in exactly the same 10:04:59

21 way? 10:05:04

22 A. Yes, they do. And basically proportional. 10:05:04

23 If you increase the voltage or if you increase -- or 10:05:14

24 decrease the temperature, the frequency has tendency 10:05:18

25 to rise. And depending how -- how well PLL is 10:05:22

1 designed to control that -- that frequency, it may be 10:05:26
2 small. You know, I can cite the example of -- of 10:05:34
3 your expert Subramanian measurements, and when we 10:05:38
4 look at the numbers and we magnify them, we show the 10:05:44
5 clear trend to -- to go up with voltage and down with 10:05:50
6 temperature. Small, but if you put it under bigger 10:05:56
7 magnifying glass, you'll find it. 10:06:01

8 Q. Is it your view that any change in PLL output 10:06:03
9 frequency is a -- due to a change in operating 10:06:07
10 voltage meets the claim requirement no matter how 10:06:19
11 small it is? 10:06:27

12 A. I think there are a couple of things there, 10:06:28
13 you know. And we are still on claim 1. Okay. 10:06:33
14 Haven't moved. But the processing does because 10:06:37
15 different chips are running at a different processing 10:06:52
16 capabilities. 10:06:57

17 Now, the claim is -- I mean, at this point I 10:06:59
18 would say I would probably leave it, you know, for 10:07:04
19 the legal arguments or the interpretation and I just 10:07:09
20 am a technical expert. I mean, there can be an 10:07:23
21 argument made over what a PLL does to VCO. 10:07:26

22 But as far as technical expert, I can just 10:07:34
23 say, yes, it does make -- for example, even your -- 10:07:38
24 your expert compares it to the cruise control, and we 10:07:42
25 know that cruise control tries to keep you at the 10:07:47

1	your experts, et cetera.	18:59:49
2	Q. With respect to HTC products.	18:59:52
3	A. With respect to jitter.	18:59:54
4	Q. No, in the context of HTC products.	18:59:55
5	A. I don't know if they are talking about HTC	19:00:00
6	products.	19:00:03
7	Q. No. No. Right now, I'm just asking you in	19:00:03
8	the context of HTC products, did you consider jitter	19:00:07
9	to be part of the variability that you're analyzing?	19:00:11
10	A. As I said before, I don't. You know, I	19:00:17
11	consider jitter to be a temporal -- a random	19:00:19
12	variable.	19:00:29
13	Q. I see. Okay.	19:00:29
14	A. So we are looking at systematic variations,	19:00:31
15	not random variations.	19:00:34
16	Q. So -- so --	19:00:36
17	A. And basically if you measure just as in the	19:00:38
18	measures performed by Subramanian he has measured	19:00:40
19	that point about a thousand times before he averages	19:00:43
20	what the frequency is and then he moves to another	19:00:46
21	one and gets a thousand points average and then to	19:00:49
22	what the frequency is.	19:00:51
23	But when I see that those are moving away	19:00:53
24	from each other, then I see systematic variability.	19:00:56
25	Q. But the jitter --	19:00:59

1 A. Those may have gone like this and he has 19:01:01
2 averaged them on -- on one value, which is the 19:01:04
3 average value. So -- 19:01:06
4 Q. So jitters are not part -- 19:01:08
5 A. I don't consider jitter, no. 19:01:10
6 (Discussion off the record.) 19:01:26
7 Q. MR. CHEN: So in terms of variability, I'm 19:01:27
8 still a little bit confused. So, like, say for 19:01:33
9 example, what kind of variability in the PLL's or 19:01:38
10 VCOs or ICO's in accused HTC product would be 19:01:41
11 considered by you to be the kind of variability in 19:01:47
12 the clock or oscillator as claimed in the '336 19:01:50
13 patent? 19:01:53
14 A. Well, if I have a system in which the clock 19:01:53
15 is trapped inside the PLL, basically controlled by 19:02:01
16 the PLL, the only freedom it has it's when PLL is 19:02:07
17 basically disabled and that is that dead band. 19:02:13
18 And -- and any systematic variability in that band. 19:02:19
19 Because it's obviously -- let's say if we had -- and 19:02:24
20 I think those Subramanian measurements were quite 19:02:27
21 instructive, but when we -- when we blew them up, we 19:02:32
22 saw how these numbers go basically like on the line 19:02:36
23 and I think at some point they hit that band of the 19:02:39
24 PLL and they go back. 19:02:42
25 Q. So you're saying the kind of microscopic 19:02:44

1 variations are the variations that you're using? 19:02:49

2 MR. OTTESON: Objection, mischaracterizes. 19:02:52

3 THE WITNESS: I would characterize them as -- 19:02:54

4 I just forget now. I would characterize them as 19:02:58

5 within the degree of freedom that it has. 19:03:06

6 Q. MR. CHEN: As defined by the phase-locked 19:03:10

7 loop? 19:03:12

8 A. In the -- in the -- let's say if you're 19:03:12

9 holding something, you know, but not too tight. So 19:03:17

10 it has some loose movements. So within -- which is a 19:03:21

11 freedom. Obviously it's constrained further than 19:03:28

12 that. 19:03:31

13 So I would say within the -- within the range 19:03:32

14 of freedom or degree of freedom that -- that it has. 19:03:36

15 Q. Okay. I just have a couple quick questions 19:03:41

16 about Exhibit 4. Do you have Exhibit 4? 19:03:43

17 A. Okay. Let's make those last. 19:03:45

18 MR. OTTESON: You spent a lot of time talking 19:03:59

19 about this yesterday. So I think you're kind of 19:04:01

20 wearing out your welcome here. 19:04:03

21 THE WITNESS: How are we doing on tape? 19:04:05

22 MR. OTTESON: He started a new tape. 19:04:09

23 VIDEOGRAPHER: We have 16 more minutes to go. 19:04:12

24 Q. MR. CHEN: So could you go to column 42, line 19:04:17

25 18. Line 18. It says: "This timer mode can be used 19:04:24

1 minutes, right? 19:08:28

2 MR. CHEN: We'll see -- we'll see what will 19:08:29

3 be required and then we can talk. 19:08:32

4 VIDEOGRAPHER: Okay, the marks the end of 19:08:34

5 tape number five in the deposition of Dr. Oklobdzija. 19:08:36

6 Going off the record, the time is 7:09. 19:08:40

7 --o0o--

8 (Whereupon, the deposition was adjourned at
9 deposition adjourned at 7:09 p.m.)

10

11 --o0o--

12

13 I declare under penalty of perjury that
14 the foregoing is true and correct. Subscribed at
15 _____, California, this ____ day of
16 _____, 2013.

17

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Signature of Witness

21

22

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24

25

1 CERTIFICATE OF REPORTER

2 I, WENDY E. ARLEN, a Certified Shorthand
3 Reporter, hereby certify that the witness in the
4 foregoing deposition was by me duly sworn to tell the
5 truth, the whole truth and nothing but the truth in the
6 within-entitled cause;

7 That said deposition was taken down in shorthand
8 by me, a disinterested person, at the time and place
9 therein stated, and that the testimony of the said
10 witness was thereafter reduced to typewriting, by
11 computer, under my direction and supervision.

12 That before completion of the deposition, review
13 of the transcript was not requested. If requested,
14 any changes made by the deponent (and provided to the
15 reporter) during the period allowed are appended hereto.

16 I further certify that I am not of counsel or
17 attorney for either or any of the parties to the said
18 deposition nor in any way interested in the event of
19 this cause and that I am not related to any of the
20 parties thereto.

21 DATED: _____, 2013

22

23

24

WENDY E. ARLEN CSR, No. 4355

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