

[See Signature Page for Information on Counsel for Plaintiffs]

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

ACER, INC., ACER AMERICA  
CORPORATION and GATEWAY, INC.,

Plaintiffs,

v.

TECHNOLOGY PROPERTIES LIMITED,  
PATRIOT SCIENTIFIC CORPORATION,  
and ALLIACENSE LIMITED,

Defendants.

Case No. 5:08-cv-00877 PSG

**MOTION FOR LEAVE TO FILE  
PLAINTIFFS' SUR-REPLY IN SUPPORT  
OF THEIR OPPOSITION TO  
DEFENDANTS' MOTION FOR  
RECONSIDERATION OF CERTAIN  
ASPECTS OF FIRST CLAIM  
CONSTRUCTION ORDER**

**[RELATED CASES]**

HTC CORPORATION, HTC AMERICA,  
INC.,

Plaintiffs,

v.

TECHNOLOGY PROPERTIES LIMITED,  
PATRIOT SCIENTIFIC CORPORATION,  
and ALLIACENSE LIMITED,

Defendants.

Case No. 5:08-cv-00882 P=SG

BARCO N.V., a Belgian corporation,

Plaintiff,

v.

TECHNOLOGY PROPERTIES LIMITED,  
PATRIOT SCIENTIFIC CORPORATION,  
ALLIACENSE LIMITED,

Defendants.

Case No. 5:08-cv-05398 PSG

1       **PLEASE TAKE NOTICE** that declaratory relief plaintiffs Acer, HTC and Barco entities  
 2 as shown on the caption page (collectively “Plaintiffs”), pursuant to Local Rule 7-3(d), hereby  
 3 move for leave to file a Sur-Reply in Support of Their Opposition to Defendants’ Motion for  
 4 Reconsideration of Certain Aspects of First Claim Construction Order (attached hereto as Exhibit  
 5 1). Plaintiffs’ Motion is based on this Notice of Motion and Motion and the record of the Court,  
 6 and upon such other matters as may be presented to the Court. The undersigned bring this motion  
 7 for leave because, as will be shown below, defendants Technology Properties Ltd., Patriot  
 8 Scientific Corp. and Alliacense Ltd. (hereinafter “TPL”) have improperly gone far beyond the  
 9 scope of the arguments presented in their opening brief.

10       TPL filed its motion for reconsideration of Judge Ware’s construction of the claim phrase  
 11 “separate direct memory access central processing unit” (“separate DMA CPU”) presenting only  
 12 two arguments: (1) that a restriction requirement in the parent application of the ’890 patent  
 13 allegedly supported a broader construction; and (2) that statements in the reexamination file  
 14 history allegedly confirm that DMA CPU could include a conventional DMA controller. (Dkt.  
 15 No. 349-1 in *Acer* action, at 3-6.)

16       TPL’s reply brief, however, goes far beyond the two arguments presented in its opening  
 17 brief and attempts to relitigate the entire construction of DMA CPU. The reply brief includes five  
 18 pages of new argument about the “intrinsic record as a whole” that TPL admits go beyond the two  
 19 arguments presented in its opening brief. (Dkt. No. 369 in *Acer* action, at 10:20-21 (“**Beyond** just  
 20 the restriction requirement and the reexamination proceeding, the entire intrinsic record supports  
 21 Defendants’ proposed construction of DMA CPU....”) (emphasis added).) The new arguments  
 22 presented on pages 10 through 14 of TPL’s reply brief should not be considered because they  
 23 exceed the scope of the leave granted to TPL to seek reconsideration, and are improper for a reply  
 24 brief. But if the Court is inclined to consider those arguments, Plaintiffs respectfully request that  
 25 the Court consider the responsive arguments below so it will have a full discussion of the intrinsic  
 26 record – a record that refutes TPL’s overbroad construction and its misplaced arguments.

27       Thus, Plaintiffs respectfully request leave to file their surreply brief, attached hereto as  
 28 Exhibit 1.

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Dated: November 16, 2012

Respectfully submitted,  
K&L GATES LLP

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Dated: November 16, 2012

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1 Dated: November 16, 2012

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**FILER'S ATTESTATION PURSUANT TO L.R. 5-1(i)(3)**

I, Harold Davis, attest that concurrence in the filing of MOTION FOR LEAVE TO FILE PLAINTIFFS' SUR-REPLY IN SUPPORT OF THEIR OPPOSITION TO DEFENDANTS' MOTION FOR RECONSIDERATION OF CERTAIN ASPECTS OF FIRST CLAIM CONSTRUCTION ORDER has been obtained from each of the other Signatories hereto.

Executed this 19th day of November, 2012, at San Francisco, California.

By: /s/ Harold Davis /s/  
Harold H. Davis, Jr.

# EXHIBIT 1

[See Signature Page for Information on Counsel for Plaintiffs]

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN JOSE DIVISION

ACER, INC., ACER AMERICA  
CORPORATION and GATEWAY, INC.,

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v.

TECHNOLOGY PROPERTIES LIMITED,  
PATRIOT SCIENTIFIC CORPORATION,  
and ALLIACENSE LIMITED,

Defendants.

Case No. 5:08-cv-00877 PSG

**[PROPOSED]**

**PLAINTIFFS' SUR-REPLY IN SUPPORT  
OF THEIR OPPOSITION TO  
DEFENDANTS' MOTION FOR  
RECONSIDERATION OF CERTAIN  
ASPECTS OF FIRST CLAIM  
CONSTRUCTION ORDER**

**[RELATED CASES]**

Date: November 30, 2012  
Time: 10:00 a.m.  
Place: Courtroom 5, 4<sup>th</sup> Floor  
Judge: Paul Singh Grewal

HTC CORPORATION, HTC AMERICA,  
INC.,

Plaintiffs,

v.

TECHNOLOGY PROPERTIES LIMITED,  
PATRIOT SCIENTIFIC CORPORATION,  
and ALLIACENSE LIMITED,

Defendants.

Case No. 5:08-cv-00882 PSG

BARCO N.V., a Belgian corporation,

Plaintiff,

v.

TECHNOLOGY PROPERTIES LIMITED,  
PATRIOT SCIENTIFIC CORPORATION,  
ALLIACENSE LIMITED,

Defendants.

Case No. 5:08-cv-05398 PSG

## I. INTRODUCTION

Defendants Technology Properties Ltd., Patriot Scientific Corp. and Alliacense Ltd. (hereinafter “Defendants” or “TPL”) filed their motion for reconsideration of Judge Ware’s construction of “separate direct memory access central processing unit” (“separate DMA CPU”) presenting only two arguments: (1) that a restriction requirement in the parent application of the ’890 patent allegedly supported a broader construction; and (2) that statements in the reexamination file history allegedly confirm that DMA CPU could include a conventional DMA controller. (Dkt. No. 349-1 in No. 08-cv-00877-PSG (“*Acer Action*”), at 3-6.)

TPL’s reply brief, however, goes far beyond the two arguments presented in its opening brief and attempts to relitigate the entire construction of DMA CPU. It includes five pages of new arguments about the “intrinsic record as a whole” that TPL admits go beyond the two arguments presented in its opening brief. (Dkt. No. 369 in *Acer* action, at 10:20-21 (“**Beyond** just the restriction requirement and the reexamination proceeding, the entire intrinsic record supports Defendants’ proposed construction of DMA CPU ....”) (emphasis added).) The new arguments presented on pages 10 through 14 of TPL’s reply brief should not be considered because they exceed the scope of the leave granted to TPL to seek reconsideration, and are improper for a reply brief. But if the Court is inclined to consider those arguments, Plaintiffs respectfully request that the Court consider the responsive arguments below so it will have a full discussion of the intrinsic record – a record that refutes TPL’s overbroad construction and its misplaced arguments.

## II. ARGUMENT

The basic argument presented on pages 10 through 14 of TPL’s reply brief is that the ’890 specification discloses an alternative embodiment in which the DMA CPU is replaced with a conventional DMA controller. TPL contends that Judge Ware erred by focusing on the first embodiment (DMA CPU 72) and adopting a construction that excluded the conventional prior art DMA controller used in the alternative embodiment. (Reply at 10-14.)

### A. TPL Has Not Overcome The Express Claim Language.

TPL’s argument ignores the plain language of the claim and is based on an incorrect legal

1 premise. Claim 11 of the '890 patent, the only independent claim following the  
 2 reexamination,<sup>1</sup> recites “[a] microprocessor, which comprises a main central processing unit and a  
 3 **separate direct memory access central processing unit** in a single integrated circuit . . . .”  
 4 ('890, Reexam. Cert., Claim 11.) The claim does not recite a DMA controller, and as explained  
 5 in more detail below, the specification repeatedly differentiates the claimed DMA CPU from the  
 6 DMA controller of the prior art. ('890, *e.g.*, 1:55-58, 12:62-65.)

7 TPL's argument assumes that “DMA CPU” must be construed broadly enough to cover  
 8 every DMA-related embodiment in the specification, but this is not the law. As the Federal  
 9 Circuit has observed, “[o]ur precedent is replete with examples of subject matter that is included  
 10 in the specification, but is not claimed.” *TIP Sys., LLC v. Phillips & Brooks/Gladwin, Inc.*, 529  
 11 F.3d 1364, 1373 (Fed. Cir. 2008). That the specification discloses an embodiment in which a  
 12 DMA controller is employed does not mean that “DMA CPU” must be construed to cover that  
 13 embodiment. “Therefore, the mere fact that there is an alternative embodiment disclosed in the  
 14 ['890] patent that is not encompassed by district court's claim construction does not outweigh the  
 15 language of the claim, especially when the court's construction is supported by the intrinsic  
 16 evidence.” *Id.* And such a construction would clash with the intrinsic record as explained below.

#### 17 **B. TPL's Latest Attempt To Read “CPU” Out of “DMA CPU” Fails.**

18 The term “direct memory access” or “DMA” is a well-known technology for improving the  
 19 performance of computer systems. DMA allows certain subsystems or components within a  
 20 computer (such as a disk drive or other devices) to transfer data to memory without the main CPU  
 21 having to perform the actual data transfer, allowing the CPU to perform other tasks. The '890  
 22 specification identifies two distinct structures that involve DMA operations – the unclaimed  
 23 “DMA controller” of the prior art, and the DMA CPU recited in claim 11.

24 The '890 patent acknowledges that DMA controllers are not only the prior art, but the prior  
 25 art over which the applicants sought to make an improvement. The specification states that “DMA  
 26 controllers can provide routine handling of DMA requests and responses, but some processing by  
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28 <sup>1</sup> Claim 1 was canceled in the reexamination and new claim 11 was added.



1 the main central processing unit (CPU) of the microprocessor is required.” (’890, 1:55-58.) The  
 2 specification identifies, as an object of the alleged invention, a processor “in which DMA does not  
 3 require use of the main CPU during DMA requests and responses and which provides very rapid  
 4 DMA response with predictable response times.” (’890, 2:2-5.) The ’890 patent purports to  
 5 provide such a processor by claiming a “separate direct memory access **central processing unit**,”  
 6 which is recited in the independent claim 11 of the ’890 patent. As explained in the specification:  
 7 “The DMA CPU **72** controls itself and has the ability to fetch and execute instructions. It operates  
 8 as a co-processor to the main CPU **70** (FIG. 2) for time specific processing.” (’890, 8:22-24.)

9 One of the key disputes during the claim construction proceedings before Judge Ware was  
 10 whether a DMA CPU had the ability to “fetch and execute” instructions for performing DMA  
 11 operations. Judge Ware found that “a person of ordinary skill in the art would understand ‘CPU’  
 12 to mean a unit of a computing system that fetches, decodes, and executes programmed  
 13 instructions,” and that “the inventors use the term CPU consistently with its plain and ordinary  
 14 meaning.” (First Claim Construction Order at 12:6–9 (“Order”), Dkt. No. 336 in *Acer* Action.)  
 15 Judge Ware further observed that the “written description criticizes ‘[c]onventional  
 16 microprocessors’ that use ‘DMA controllers’ because ‘some processing by the main central  
 17 processing unit (CPU) of the microprocessor is required.’” (*Id.* at 12:10-13 (quoting ’890, 1:52-  
 18 58).) The Court accordingly construed “separate DMA CPU” to mean “a central processing unit  
 19 that accesses memory and that fetches and executes instructions directly, separately, and  
 20 independently of the main central processing unit.” (*Id.* at 13:3-4 (emphasis added).)

21 TPL’s reply brief does not explain how its construction could be adopted without reading  
 22 “CPU” out of the claim term “DMA CPU.” TPL does not dispute that Judge Ware accurately  
 23 described how one of ordinary skill in the art would understand “CPU.” In fact, TPL conceded in  
 24 its earlier briefing that “DMA controllers” are different from the claimed “DMA CPU” because:  
 25 “This ‘more traditional DMA controller’ is one that functions more as a **traditional** state machine,  
 26 **without the ability to fetch its own instructions that characterizes a CPU.**” (*See* Dkt. No. 310  
 27 in *Acer* action, TPL’s Opening Br. for “Top Ten” Terms, at 9:24-26 (emphasis added).) But the  
 28 ability to fetch and then execute its own instructions – a feature that undisputedly “characterizes a

1 CPU” – is conspicuously missing from the construction TPL advances before this Court. TPL’s  
 2 renewed attempt to rewrite the claim to remove “CPU” from “DMA CPU” should be rejected. *See*  
 3 *K-2 Corp. v. Salomon S.A.*, 191 F.3d 1356, 1364 (Fed. Cir. 1999) (“Courts do not rewrite claims;  
 4 instead, we give effect to the terms chosen by the patentee”).

5 **C. The “DMA Controller” Embodiment Supports Judge Ware’s Construction.**

6 TPL’s reply brief argues that the ’890 specification treats the DMA CPU and DMA  
 7 controller as interchangeable. TPL bases this argument entirely on the disclosure of an  
 8 embodiment in which the DMA CPU is “replaced” with a traditional prior art DMA controller.  
 9 But as shown below, this embodiment does not suggest that a “DMA CPU” and a “DMA  
 10 controller” are the same thing; it actually confirms that the two are different.

11 The ’890 specification discloses three embodiments that include structures for handling  
 12 DMA operations. The first is the microprocessor **50** shown in Figure 2, which includes “DMA  
 13 CPU **72**,” which is further described in the specification at Column 8, lines 1-24. (’890, 8:1-24,  
 14 Fig. 5 (showing further details of DMA CPU **72**).) As noted above, that description states that  
 15 DMA CPU **72** “controls itself and has the ability to fetch and execute instructions” and “operates  
 16 as a co-processor to the main CPU **70** (FIG. 2) for time specific processing.” (’890, 8:22-24.)

17 Figure 9 of the ’890 patent shows “a layout diagram of a second embodiment of a  
 18 microprocessor” **310** that is depicted as including “DMA CPU **314**” and “CPU **316**.” (’890, 4:61-  
 19 63, 10:41, 10:52 and Fig. 9 (middle of figure).) This second embodiment is described as having a  
 20 larger amount of on-chip memory but is otherwise no different from the microprocessor **50** in  
 21 Figure 2. (’890, 9:5-6 (“The microprocessor **310** [of Fig. 9] is equivalent to the microprocessor **50**  
 22 in FIGS. 1-8.”).) Each of microprocessors **50** and **310** has the “dual processors” of a main CPU  
 23 and a separate DMA CPU. *See* ’890, 9:6-10 (“The microprocessors **50** and **310** are ... requiring  
 24 fewer than 50,000 transistors for *dual processors 70 and 72* (FIG. 2) or *314 and 316* ....”)  
 25 (emphasis added).

26 A separate passage appearing columns later in the ’890 specification describes a third  
 27 embodiment: “The microprocessor **310** CPU **316** resides on an already crowded DRAM die **312**.  
 28 To keep chip size as small as possible, the DMA processor **72** of the microprocessor **50** has been

1 replaced with a more traditional DMA controller 314.” (’890, 12:61-65 (emphasis added).) There  
 2 are no figures associated with this third embodiment. TPL relies on this embodiment, but it  
 3 actually reinforces Judge Ware’s construction. By disclosing an alternative system in which DMA  
 4 CPU 72 has been “*replaced* with a more traditional DMA controller 314” (’890, 12:62-13:4  
 5 (emphasis added)), it confirms that the two are different. If a DMA CPU was the same thing as a  
 6 DMA controller, as TPL contends, there would be no need to disclose an embodiment in which the  
 7 DMA CPU is “replaced” with “a more traditional DMA controller.” The specification further  
 8 explains that this replacement was motivated by the need “[t]o keep chip size as small as possible”  
 9 (’890, 12:62-63), an objective accomplished by replacing the larger and more complex DMA CPU  
 10 with the smaller and simpler DMA controller of the prior art.

11 Everything in the intrinsic record confirms that when the specification refers to the DMA  
 12 CPU and the DMA controller, it is talking about two very different structures. But only one of  
 13 those structures – the DMA CPU – was actually claimed. The specification describes the more  
 14 complex DMA CPU as an improvement over the conventional DMA controller, so it makes sense  
 15 that “DMA CPU” as recited in the claims of the ’890 patent would not cover the prior art DMA  
 16 controller. And as explained above, the fact that the DMA controller is unclaimed is irrelevant.  
 17 See *TIP Sys.* 529 F.3d at 1373 (“Our precedent is replete with examples of subject matter that is  
 18 included in the specification, but is not claimed.”).

### 19 **III. CONCLUSION**

20 TPL’s improper reconsideration arguments have been previously presented to and properly  
 21 rejected by Judge Ware in his previous ruling. If the Court is inclined to consider them on the  
 22 merits, it should reject them again. Because TPL’s construction improperly seeks to lay claim  
 23 over the DMA controller that the specification distinguishes from the claimed DMA CPU, it  
 24 should be rejected. For the foregoing reasons, and the reasons stated in Plaintiffs’ opposition brief,  
 25 TPL’s motion for reconsideration should be denied in its entirety.

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Dated: November [ ], 2012

Respectfully submitted,

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Dated: November [ ], 2012

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***Attorneys for HTC Corporation and HTC  
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1 Dated: November [ ], 2012

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*Attorneys for Barco, N.V.*

10 **FILER'S ATTESTATION PURSUANT TO L.R. 5-1(i)(3)**

11 I, \_\_\_\_\_, attest that concurrence in the filing of PLAINTIFFS' SUR-REPLY IN  
12 SUPPORT OF THEIR OPPOSITION TO DEFENDANTS' MOTION FOR  
13 RECONSIDERATION OF CERTAIN ASPECTS OF FIRST CLAIM CONSTRUCTION ORDER  
14 has been obtained from each of the other Signatories hereto.

15 Executed this \_\_ day of November, 2012, at Palo Alto, California

16 By: \_\_\_\_\_

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[See Signature Page for Information on Counsel for Plaintiffs]

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
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ACER, INC., ACER AMERICA  
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TECHNOLOGY PROPERTIES LIMITED,  
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Defendants.

Case No. 5:08-cv-00877 PSG

**[PROPOSED] ORDER GRANTING  
MOTION FOR LEAVE TO FILE  
PLAINTIFFS' SUR-REPLY IN SUPPORT  
OF THEIR OPPOSITION TO  
DEFENDANTS' MOTION FOR  
RECONSIDERATION OF CERTAIN  
ASPECTS OF FIRST CLAIM  
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**[RELATED CASES]**

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BARCO N.V., a Belgian corporation,

Plaintiff,

v.

TECHNOLOGY PROPERTIES LIMITED,  
PATRIOT SCIENTIFIC CORPORATION,  
ALLIACENSE LIMITED,

Defendants.

Case No. 5:08-cv-05398 PSG

1 Having considered Plaintiffs' Motions for Leave to File Surreply in Support of Their  
2 Opposition to Defendants' Motion for Reconsideration of Certain Aspects of First Claim  
3 Construction Order ("Motion for Leave"), and for good cause shown,

4  
5 **IT IS HEREBY ORDERED** that the Motion for Leave is **GRANTED**.

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7 IT IS SO ORDERED.

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10 Dated: November [ ], 2012

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Magistrate Judge Paul S. Grewal

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